

# Current understanding of mechanisms of vaccine-induced protection

Florian Krammer

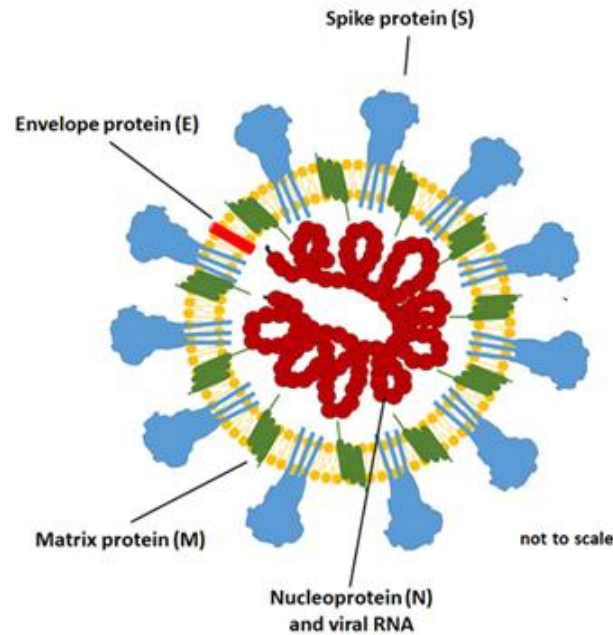
Mount Sinai Professor in Vaccinology  
Icahn School of Medicine at Mount Sinai

WHO meeting on COVID vaccines research  
August 13th, 2020



**Mount  
Sinai**

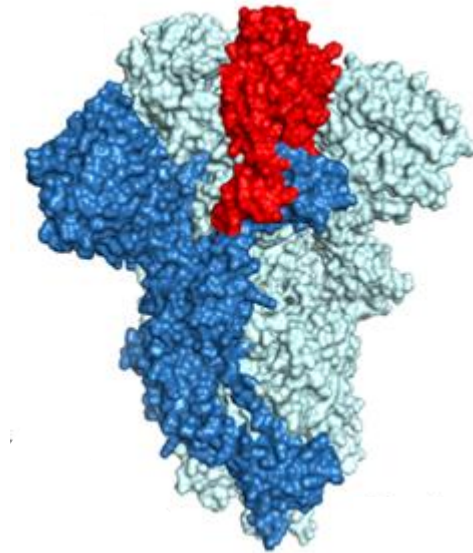
# Infection-induced immunity



+ all other nonstructural proteins  
likely some intra-host sequence diversity  
potentially longer presence of antigen

systemic immunity  
mucosal immunity

# Vaccine-induced immunity



\*except inactivated vaccines

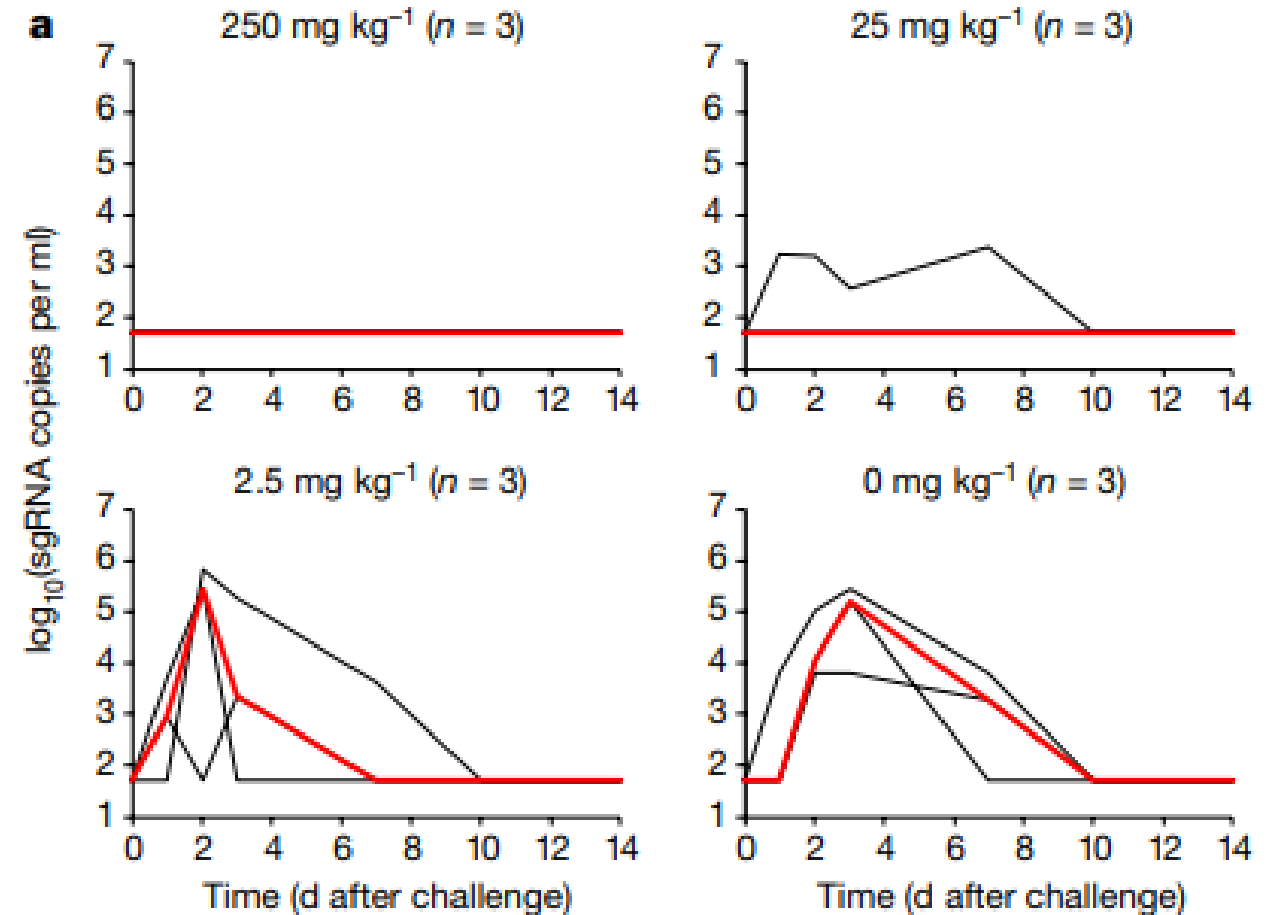
one consensus spike

systemic immunity

# Antibody based immunity

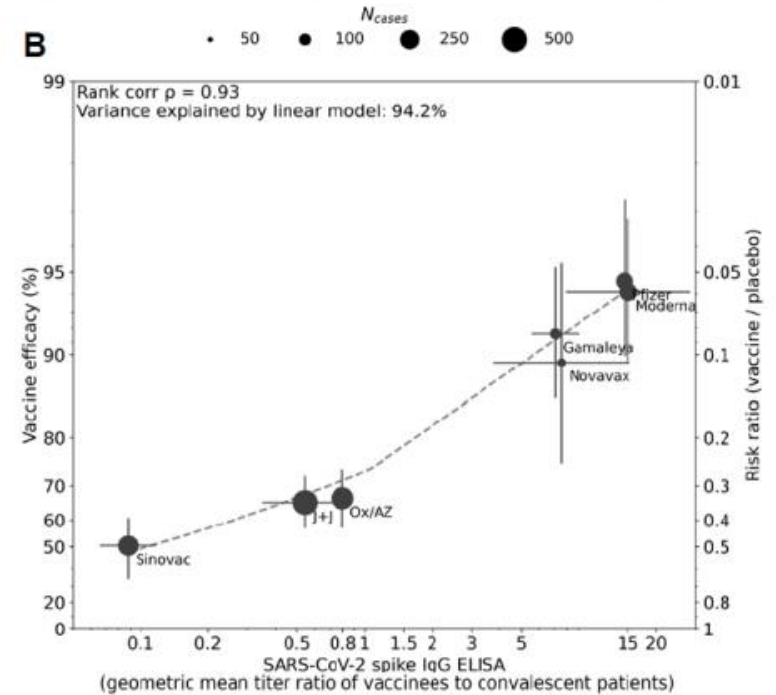
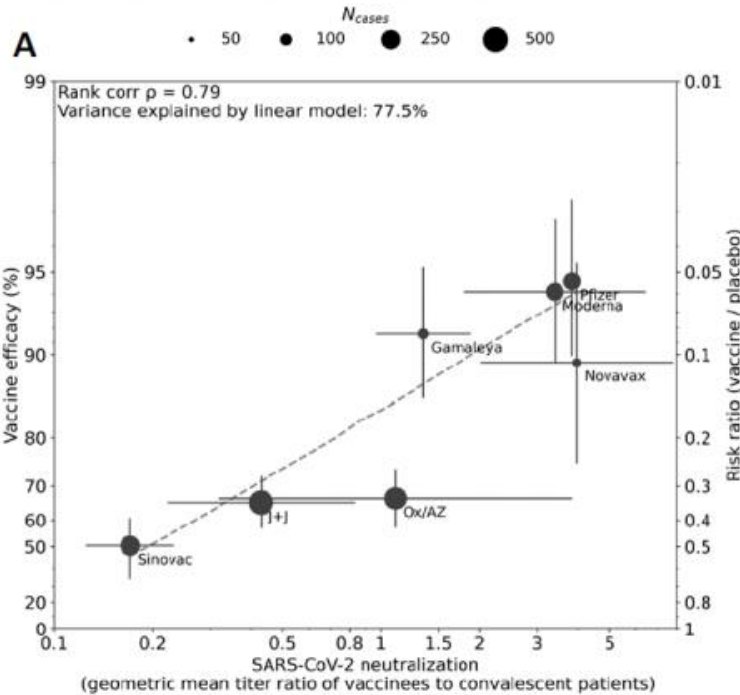
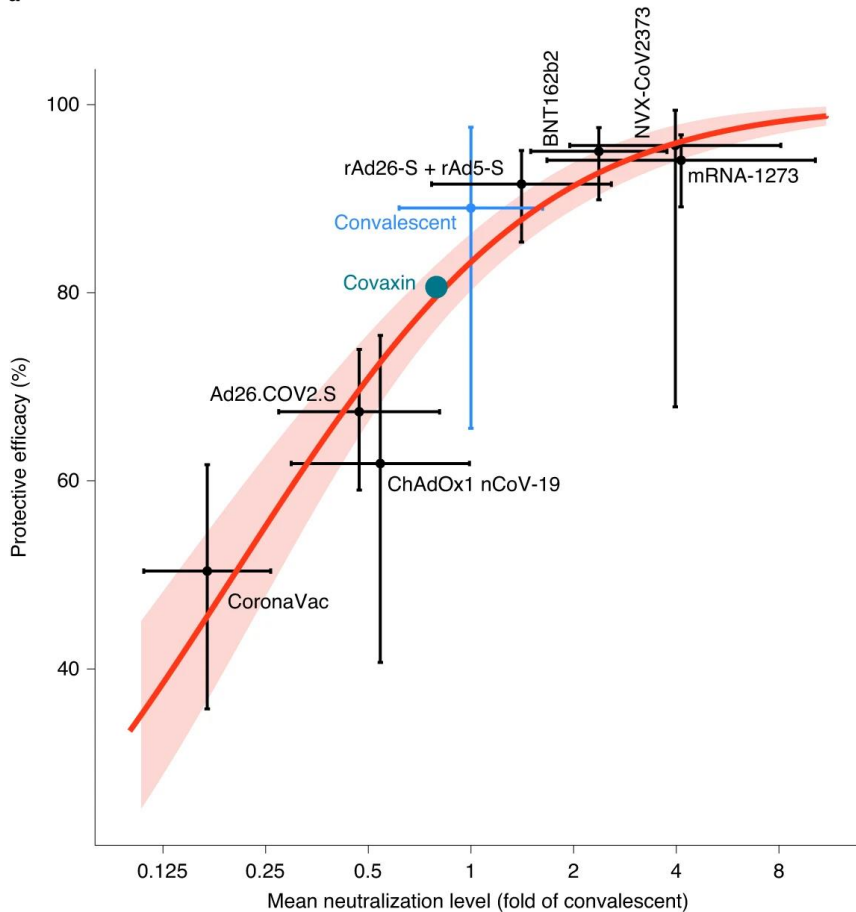
- Antibodies neutralize SARS-CoV-2
- Antibodies may protect through Fc-dependent effector functions
- mAb prophylactics and therapeutics work!

NHP are protected from challenge by passive transfer of antibody in a dose dependent manner



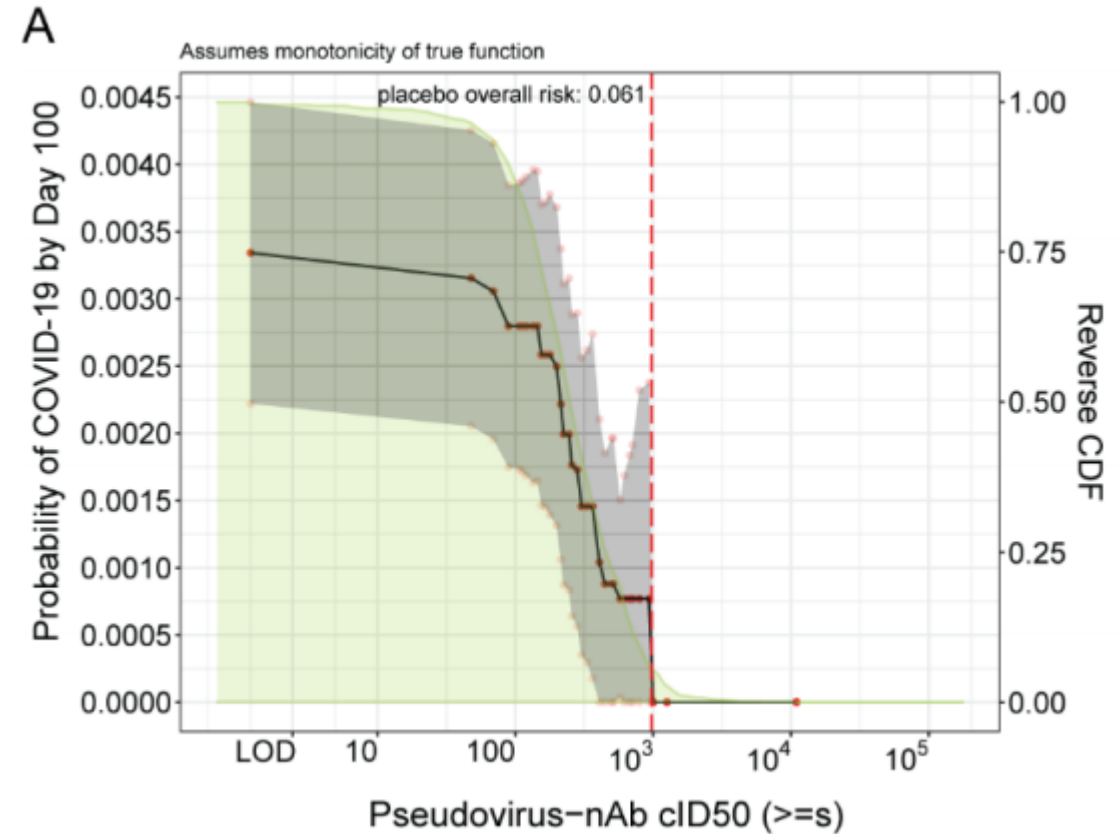
# From a 'global' perspective, antibody levels correlate with vaccine efficacy

a



# Antibodies do seem to be a correlate of protection at an individual level as well

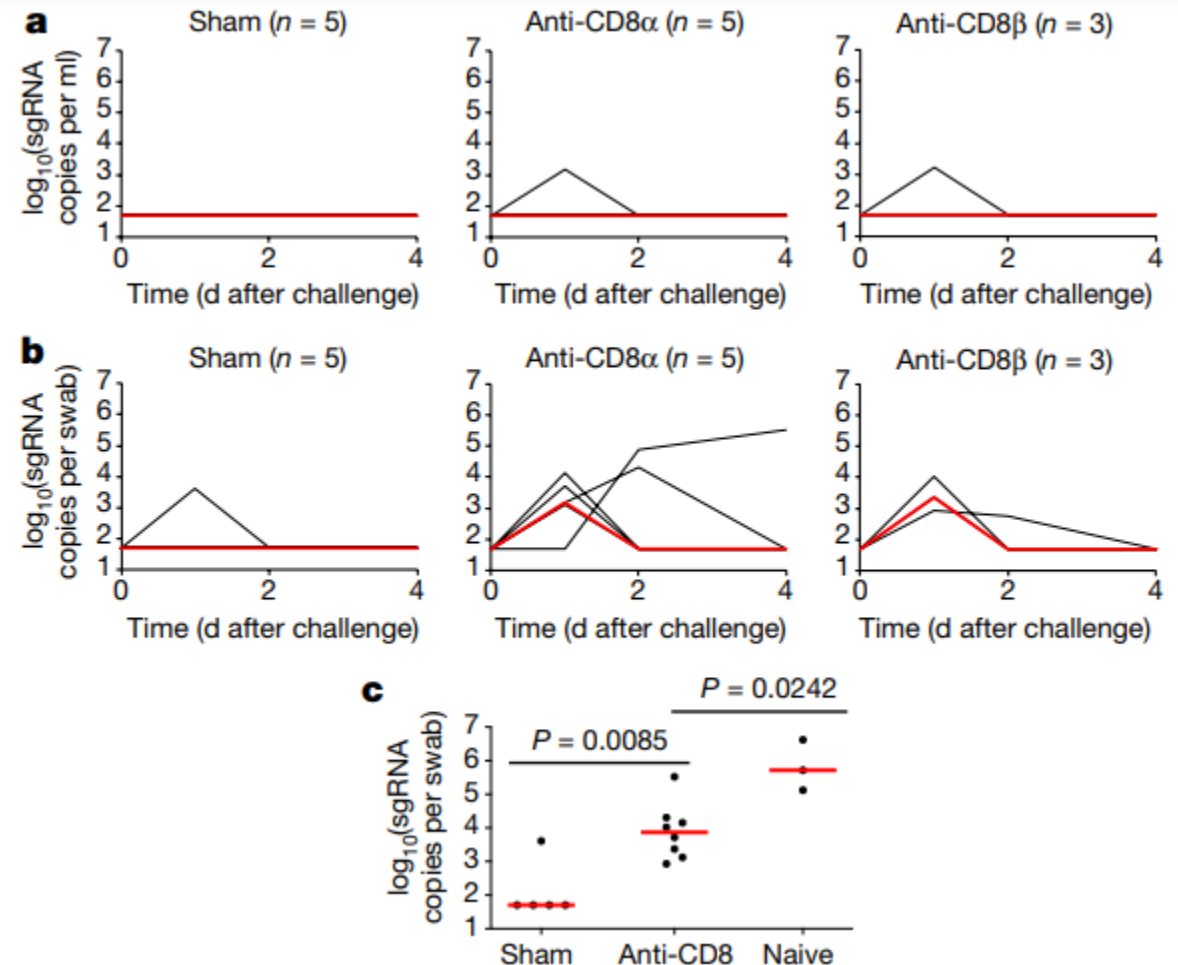
COVE Immunologic Marker	Tertile <sup>§</sup>	No. cases / No. at-risk <sup>§</sup>	Attack rate	Haz. Ratio Pt. Est.	Ratio 95% CI	P-value (2-sided)	Overall P-value	Overall q-value <sup>†</sup>	Overall FWER
Anti Spike IgG (IU/ml)	Low	25/4,573	0.0055	1	N/A	N/A	0.006	0.014	0.010
	Medium	14/4,804	0.0029	0.45	(0.20,1.01)	0.053			
	High	8/4,687	0.0017	0.23	(0.09,0.60)	0.002			
Anti RBD IgG (IU/ml)	Low	25/4,620	0.0054	1	N/A	N/A	0.009	0.014	0.014
	Medium	13/4,745	0.0027	0.45	(0.20,1.01)	0.052			
	High	9/4,699	0.0019	0.28	(0.12,0.67)	0.004			
Pseudovirus-nAb cID50	Low	21/4,727	0.0044	1	N/A	N/A	0.052	0.042	0.054
	Medium	18/4,681	0.0038	0.82	(0.39,1.72)	0.599			
	High	8/4,656	0.0017	0.31	(0.12,0.80)	0.016			
Pseudovirus-nAb cID80	Low	20/4,742	0.0042	1	N/A	N/A	0.012	0.014	0.015
	Medium	22/4,715	0.0047	1.00	(0.49,2.03)	1.000			
	High	5/4,607	0.0011	0.20	(0.07,0.61)	0.004			
Placebo		646/13,758	0.0470						



# T cell based immunity

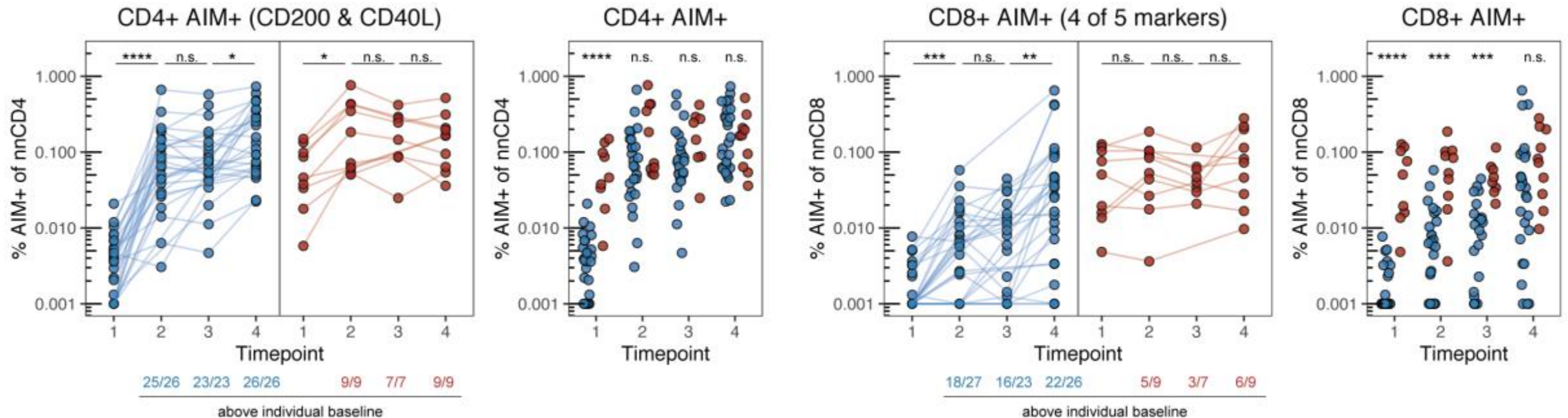
- Vaccination induces strong CD4+ and somewhat lower CD8+ T-cell responses with most currently used vaccines

Depletion of CD8 T-cells in NHPs with low antibody titers facilitates breakthrough infections



# T cell based immunity

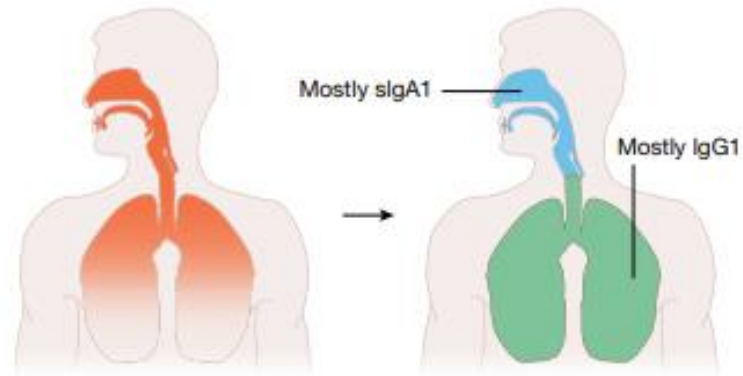
CD4+ and CD8+ T-cell responses in naive and recovered individuals post mRNA vaccination



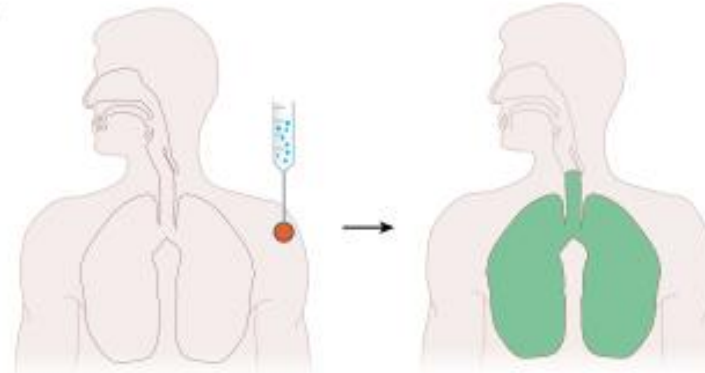


# Mucosal immunity

**a** Natural infection



**b** Intramuscular/  
intradermal  
vaccination

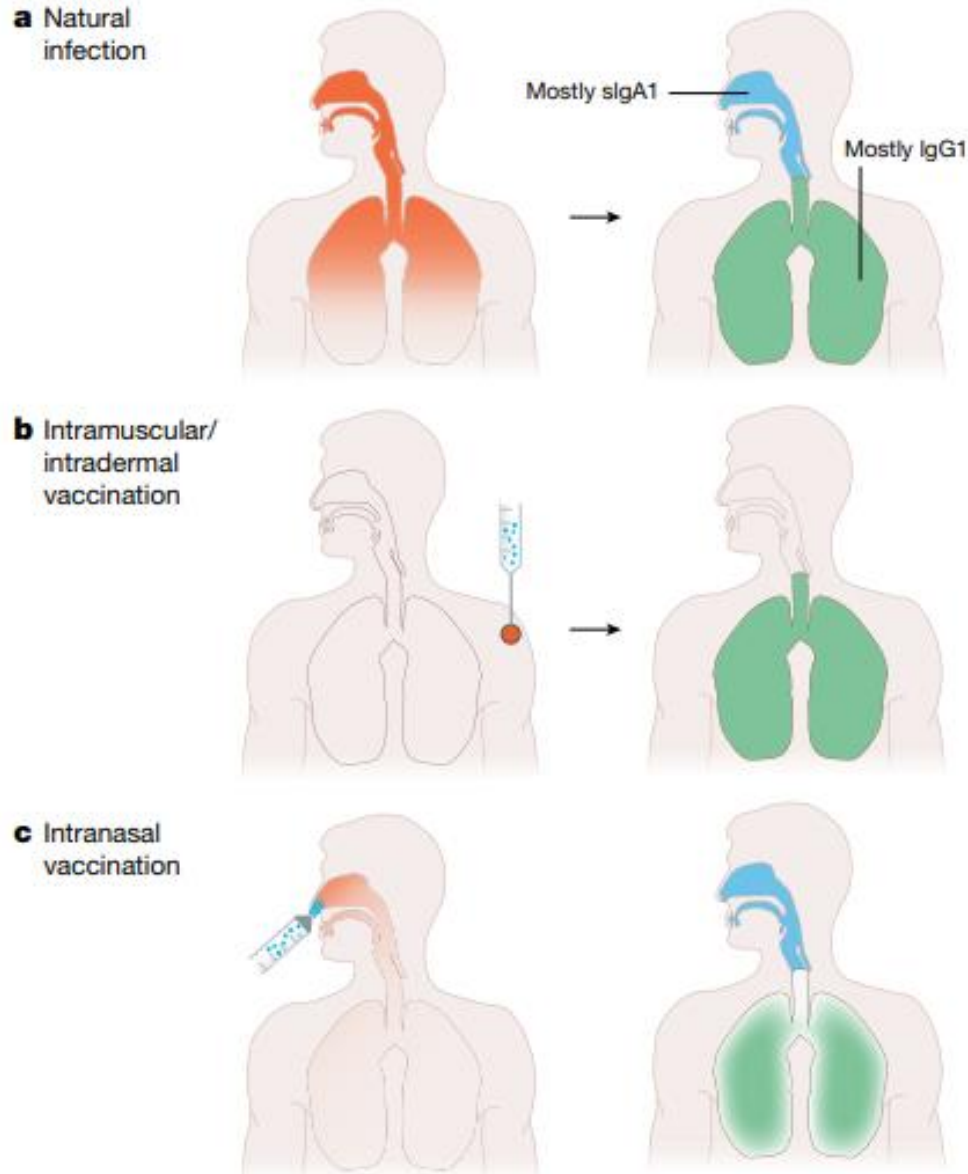


**c** Intranasal  
vaccination



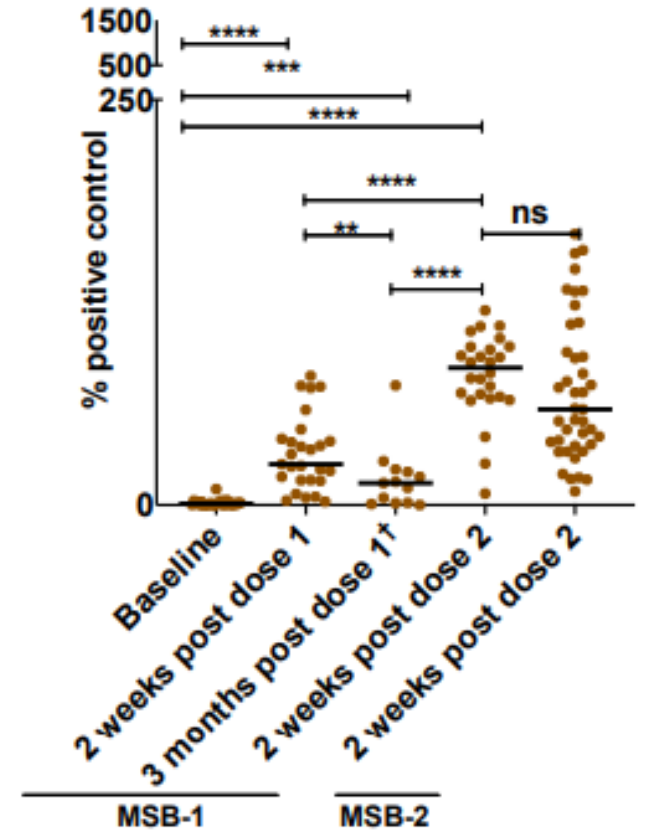


# Mucosal immunity



**Krammer, Nature, 2020**

## Spike IgG in saliva



## Gommerman lab

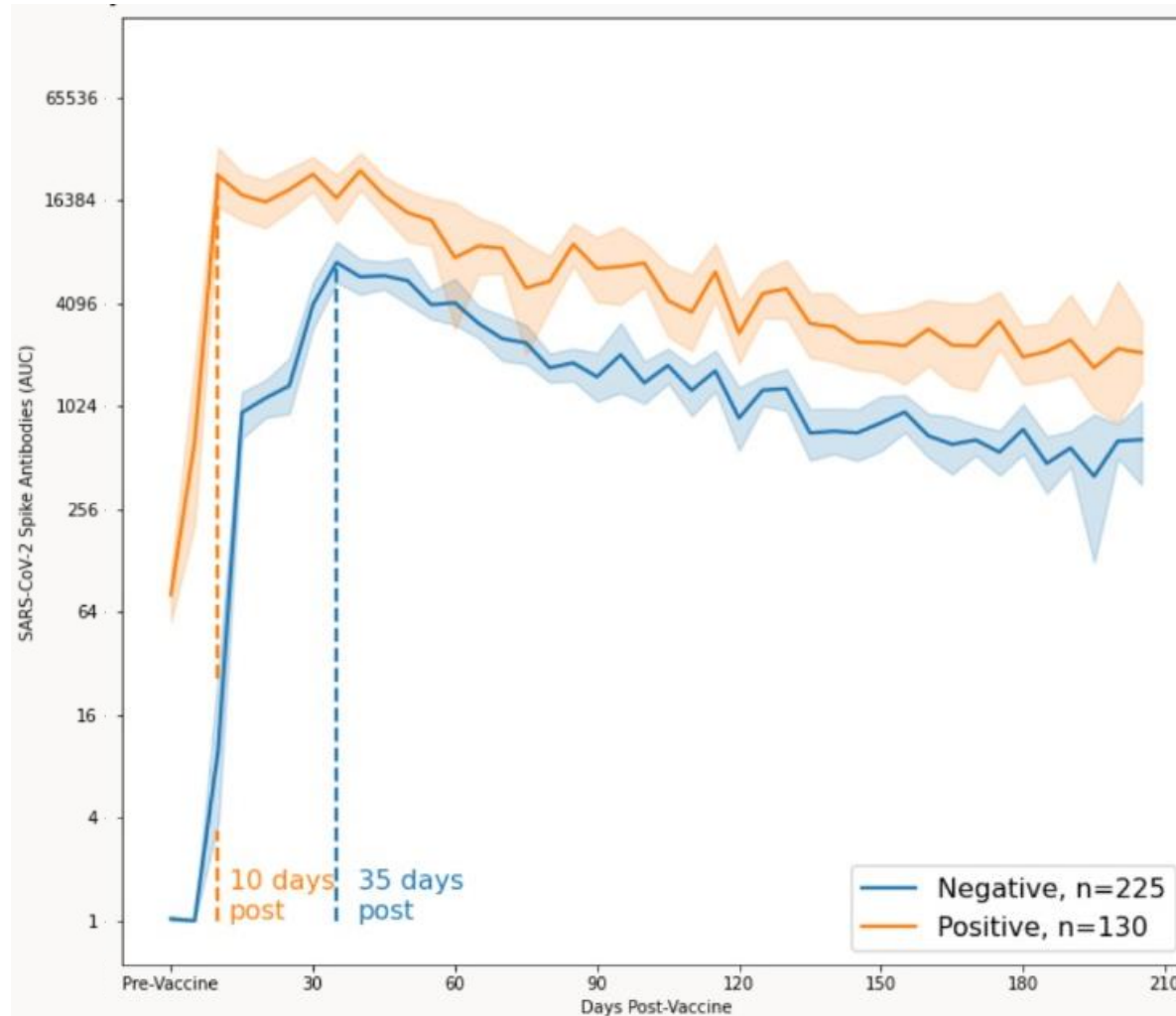
<https://www.medrxiv.org/content/10.1101/2021.08.01.21261297v1.full.pdf>

# Mucosal immunity

**Table 1 | Overview of NHP results**

Company (ref.)	Vaccine candidate (type)	Dose range (route)	Neut. titre after prime	Neut. titre after boost	T cell response	Challenge dose (route)	URT protection	LRT protection	Species
Sinovac <sup>34</sup>	PiCoVacc (inactivated virion + aluminium hydroxide)	3–6 µg (i.m.)	None <sup>a</sup>	1:10 range <sup>a</sup> after first boost; 1:50 range <sup>a</sup> after second boost	ND	10 <sup>6</sup> TCID <sub>50</sub> (i.t.)	Partial <sup>b</sup>	Partial (low dose) <sup>b</sup> Complete (high dose)	Rhesus macaques
Beijing Institute of Biological Products <sup>33</sup>	BBIBP-CorV (inactivated virion + aluminium hydroxide)	4–8 µg (i.m.)	1:100 range <sup>a</sup>	1:200 range <sup>a</sup>	ND	10 <sup>6</sup> TCID <sub>50</sub> (i.t.)	Partial <sup>b</sup>	Complete <sup>b</sup>	Cynomolgus macaques
AstraZeneca <sup>49</sup>	ChAdOxCoV-19 (non-replicating AdV)	2.4 × 10 <sup>10</sup> VP; 1× or 2× (i.m.)	1:5–1:40 range <sup>a</sup>	1:10–1:160 range <sup>a</sup>	Yes	2.6 × 10 <sup>6</sup> TCID <sub>50</sub> (i.t., oral, i.n., ocular)	None (1×) <sup>c</sup> None (2×) <sup>c</sup>	Partial (1×) <sup>c</sup> Complete (2×) <sup>c</sup>	Rhesus macaques
Janssen <sup>41</sup>	Ad26COVS1 (non-replicating AdV)	1 × 10 <sup>11</sup> VP (i.m.)	1:100 range <sup>d</sup>	NA	Low	10 <sup>5</sup> TCID <sub>50</sub> (i.n., i.t.)	Complete in S.PP group <sup>c</sup>	Complete in S.PP group <sup>c</sup>	Rhesus macaques
Moderna <sup>57</sup>	mRNA-1273 (mRNA via LNPs)	2 × 10–100 µg (i.m.)	ND <sup>e</sup>	1:501–1:3,481 range <sup>d</sup>	Yes, CD4, T <sub>FH</sub>	7.6 × 10 <sup>5</sup> TCID <sub>50</sub> (i.n., i.t.)	None (10 µg) <sup>c</sup> Partial (100 µg) <sup>c</sup>	Partial (10 µg) <sup>c</sup> Complete (100 µg) <sup>c</sup>	Rhesus macaques
Novavax <sup>79</sup>	NVX CoV2373 (spike protein + Matrix-M)	2 × 2.5–25 µg	Not reported	17,920–23,040 range <sup>a</sup>	ND	10 <sup>4</sup> plaque-forming units (i.n., i.t.)	Partial (low dose) <sup>c</sup> Complete (higher doses) <sup>c</sup>	Complete <sup>c</sup>	Cynomolgus macaques

# Persistence of immune responses



**NIAID PARIS New York cohort**

**Moderna/Pfizer vaccinated**

**Provided by Dr. Viviana Simon**

# **Factors that may negatively impact on protection from variants**

- **Partial escape from neutralizing antibodies**
  - **Through mutation that decrease antibody affinity for spike**
  - **Through mutations that increase affinity of spike for ACE2**
  - **Through mutations that increase/alter fusogenicity**
- **Partial escape from T-cell responses**
- **Higher replication capacity**
- **Shorter incubation time**
- **Exposure to higher viral loads**
- **Waning of IgG on mucosal surfaces (protection from infection)**
- **Waning of immune responses in general (protection from illness)**

# **(Many) remaining questions**

- **What is the absolute correlate of protection for antibody responses (how high does the titer need to be) for protection from**
  - Infection
  - Illness
  - Severe illness
- **What is the role of the anamnestic response (T-cells, memory B-cells) and to which degree is that role influenced by shorter incubation times (e.g. for B.1.617.2/Delta)**
- **What is the contribution of vaccine induced CD4+ and CD8+ T-cells in protection from**
  - Infection
  - Illness
  - Severe illness
- **How much difference in these mechanisms is there between vaccines and vaccine platforms?**

# Acknowledgements



[florian.krammer@mssm.edu](mailto:florian.krammer@mssm.edu)

<http://labs.icahn.mssm.edu/krammerlab/>

Twitter: @florian\_krammer

**Thank you to all the study participants!**

Department of Microbiology/  
Icahn School of Medicine at Mount Sinai  
Peter Palese

**PARIS and SPARTA teams!!!**

**John Kubale and Aubree Gordon**

**Viviana Simon**

Komal Srivastava, Charles Gleason  
and the Personalized Virology  
Initiative



Ania Wajnberg  
(Mount Sinai Hospital)

Carlos Cordon-Cardo  
Adolfo Firpo  
Rao Mendu  
(Mount Sinai Hospital)

Harm van Bakel  
(ISMMS)

Mia Sordillo  
David Reich  
Judy Aberg  
(Mount Sinai Hospital)

Adolfo García-Sastre  
Lisa Miorin  
Teresa Aydillo

Tom Moran

Katherine Kedzierska (U Melbourne)  
Jussi Hepojoki (U Helsinki)  
Olli Vapalahti (U Helsinki)