



Session 1

The New Codex Trust Fund

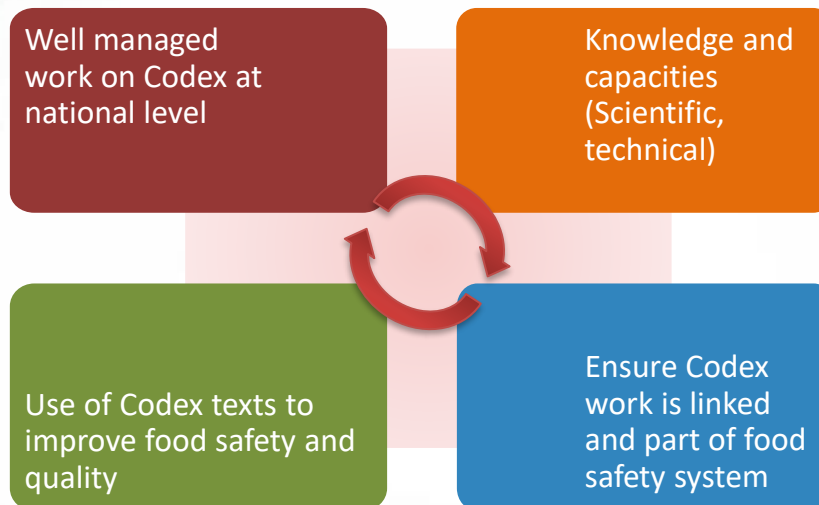


Purpose of the Codex Trust Fund?

The Codex Trust Fund supports countries to build strong, solid and sustainable national capacity to engage in Codex



Scope and focus of support from the Codex Trust Fund



Be better prepared when attending Codex meetings
More effectively contribute
Set priorities and get more out of Codex



CTF1 to CTF2

Major shift in focus

- Packages of support for building strong national Codex systems
- Reduced emphasis on physical participation
- Support for activities that will further engagement in Codex



What is CTF2?

- FAO/WHO programme- Secretariat based at WHO, Geneva
- 12 year project (2016-2027)
- 104 developing & transition economy eligible to apply for support as at June 2018.
- Individual countries or groups of countries can apply
- Multiannual support for a maximum of 3 years & a maximum of \$150,000 (for individual projects)



Eligibility

All Codex member countries with:

- Low or medium human development status (HDI)
- Other low or middle income SIDS or landlocked developing countries with high HDI



What can be supported?

- Advocacy for policy/decision makers on role and value of Codex
- Training for those working directly on Codex
- Documents, working procedures for Codex work
- Information exchange/learning from other countries through “twinning”, “mentoring”
- Training on specific scientific/technical areas
- Physical participation at Codex meetings “integrated” with other activities
- Collection and use of national data for a Codex work



What cannot be supported?

- Broad review of legislation
- Building capacities of laboratories
- Training on good hygiene practice/HACCP
- Food safety policy



Key milestones since launch of CTF2

- Preparation of Diagnostic tool and application forms
- First and Second call for applications completed
- 11 individual applications and One group application (three countries) are being funded
- Contact and discussions with beneficiary countries, and donors ongoing



Key contacts and websites

- **Contact:** codextrustfund@who.int
- **Website:** http://www.who.int/foodsafety/areas_work/food-standard/codextrustfund/en/
- **Diagnostic Tool, application forms and guidance:**
https://www.who.int/foodsafety/areas_work/food-standard/codextrustfund/en/index1.html



Session 2

The Codex Diagnostic Tool



How to apply?

1) Codex Diagnosis

- National consultative process to use the Codex Diagnosis Tool
- Results of the Codex diagnosis are used to build an application

2) Applications

- National consultative process to prepare an application using the templates available
- Signed off by FAO/WHO
- Submit in the on-line system by the deadlines communicated by the CTF Secretariat



Application process

1. Applications submitted in on-line system
2. Pre-screened by CTF Secretariat for completeness
3. Sent to FAO/WHO Regional Officers for comment
4. Assessed by Technical Review Group according to criteria
5. Recommendations of TRG sent to Steering Committee
6. Deliberation and final decision by Steering Committee
7. Countries notified of decision by Steering Committee



The Diagnostic Tool

What is it?

A framework to help countries assess current status of National Codex Programme and identify areas for capacity development



Elements to be assessed

ELEMENT 1

Mechanisms and Processes for consultation, communication & management of Codex work

CCP Structure + processes

Consultation Mechanism + processes

Management of Codex work

ELEMENT 2

Knowledge and understanding of Codex work

Knowledge base + awareness

Scientific + Technical Capacity

ELEMENT 3

Policy framework and maximising use of Codex work at the national level

National Policy Objectives and Priorities

Harmonisation and use of international Codex texts at the national level



Hallmarks of well developed National Codex Programme

- Well functioning National Codex Office
- Sound knowledge and awareness of Codex
- High level recognition and support for Codex
- Sound consultation processes
- Well established links to National Food Control Systems
- Adequate scientific and technical resources to support Codex related work



How to work through the diagnosis

Four step process

Step 1- use text at beginning of each table to familiarise with the good practices relevant to each element and sub element

Step 2- Tick the box that best describes the current level of national capacity

Step 3- provide explanatory notes/ comment to explain rationale behind capacity assessment

Step 4- overall conclusion and assessment describing current situation, priority areas for strengthening and actions needed to get there



Framework for self assessment

What is your current level of Codex capacity?

Level 1: *Aspiring*- at the initial stage and keen to develop national capacity

Level 2: *Emerging*- developing national capacity but need more guidance and support

Level 3: *Consolidating* – progressing well and consolidating capacity but need more targeted guidance

Level 4: *Well established*- good national capacity and in a position to guide and support others



Using the results

Table 5.1 – designed to provide snapshot of:

- Current status of National Codex Programme
- Strengths and weaknesses
- Priority areas for action

Table 5.2 – designed to develop a preliminary workplan to address weaknesses with key actions, expected outputs and prioritize



Session 3

Preparing applications for support



Getting started with information

- Guidance on making an application
- Understand the scope of the CTF
- Consider the range of activities that can be requested
- Diagnostic Tool
- Application forms



Build your results based project

Table 5.2 – your starting point

Weakness to be addressed	Key actions to address it	Expected result (output)	Priority



Tips on Terminology

- **Project goal** = what is the overall goal you are working towards?
- **Outcome** = What change do you expect to see as a result of interventions?
- **Output/deliverable** = What do you expect to see as a result of undertaking the activity?
- **Activity**= What activities do you need to undertake to achieve the output or deliverable



A robust application

Weaknesses

What do you want to
do in the short term
Immediate result
(Output)

What change do you
want to make over
time
(Outcome)

What activities are needed to do this?



Example

Weakness:

- Food safety problems in fish due to weak national regulations (hygiene, residues of veterinary drugs, trade rejections)

Output:

- Improved knowledge on Codex standards that could be used and included in national standards/regulations

Outcome:

- Stronger national standards and regulations leading to safer fish products, and improved opportunity for market access

Activities:

- Training on Codex standards and texts, accessing scientific information, preparation of comments & inputs to relevant Codex committees, drafting national standards based on Codex...



The application form

- Consultative process used
- Rationale & expected outcomes
- Project activities, implementation & sustainability
- Risks and mitigation strategies
- Other related support
- Action plan
- Budget



How are applications assessed?

- By the CTF Technical Review Group against standard criteria:
 - Intersectoral collaboration
 - Use of results from the Codex Diagnostic Tool
 - Clear project goal(s)
 - Clear, measurable & relevant project outputs
 - Clear, specific, achievable & relevant project activities



How are applications assessed (2)?

- Standard criteria (continued):
 - Clear indication of timelines and responsibilities for delivery
 - Identification of how sustainability will be ensured
 - Identification of risks and risk management strategies
 - Detailed, realistic and acceptable budget



Individual or group application?

- Which is best suited to your needs?
- Country cannot have support from both
- Only eligible countries can be part of a group application
- Group application could be based on:
 - Common results from diagnosis
 - Discussions or other ongoing work at Codex sessions that are of common interest to a group of countries



Tips on developing a group application

- Identify clearly the goal and expected outputs
- Be sure of the added value of working as a group
- Do not be overly ambitious - consider logistics (travel, time needed to collaborate)
- Identify a lead country
- Identify participating countries and focal points
- Include national activities and group activities
- Use the group project application form



Session 4

Elements of robust applications



Elements of strong applications

- Multi-sectoral input to application development
- Good use of diagnostic tool, clear on what weaknesses need to be addressed
- Well structured application with clear activities (to address weaknesses), outputs and outcomes
- Choice of activities/support, within scope of CTF
- Balanced & integrated blend of activities focusing on strengthening the national Codex programme
- Clear budget, good use of funds, co-funding
- Good capacity development practices evident



Common weaknesses

- **Project design + logical framework weak:**
 - Weak links in the results chain
 - Weak blend of activities to address the identified gaps
 - Too many activities, tangible results not clear, activities dis-jointed
 - Data generation, scientific elements not linked to Codex standard setting work
 - Targeting of awareness-raising outside scope of CTF
 - Sustainability issues – you need a plan for resourcing and sustaining your outcomes after the project ends?



Common weaknesses (2)

- **Request for many physical participations:** not linked to project goal “weak integration”, large delegations
- **Weakly written applications:** difficult to see how results will be achieved, incomplete sections, weak budgets
- **Activities outside of CTF scope:** strengthening laboratories, drafting food law, food policy, training on GAPs, GHPs, rapid alert system




Session 5

Action plans and budgets



From narrative to the Action Plan

Steps undertaken:

- ✓ Results of Table 5.2  to results based project
- ✓ Built up the narrative in the application form

Final steps:

- 1) Put it all into an action plan
- 2) Do a “bottom up” budget



Maximum budgets

- US\$ 150,000 total for 3 years for individual applications
- Group applications depends on number of countries in the group:
 - 2 countries = US\$ 272,894
 - 3 countries = US\$ 352,309
 - 4 countries = US\$ 411,095