

## The GLAAS 2018/2019 country survey

### About the GLAAS 2018/2019 country survey

The GLAAS 2018/2019 country survey is presented on the following pages. The GLAAS survey solicits information on the delivery of drinking-water supply, sanitation services, and the status of hygiene promotion activities. Questions in the survey focus on national policies, plans and targets and finance and cover topics required for SDG monitoring as well as questions on governance, monitoring and human resources. Information gathered in this survey will be presented in the UN-Water GLAAS 2019 Report with a focus on national policies, plans and targets. For more information about GLAAS, please refer to:

[http://www.who.int/water\\_sanitation\\_health/monitoring/investments/glaas/en/](http://www.who.int/water_sanitation_health/monitoring/investments/glaas/en/)

The survey is organized in the following way:

- Section A on governance
- Section B on monitoring
- Section C on human resources (questions on human resources are also found in Sections A, B and D)
- Section D on finance

Questions include multiple choice and brief narratives.

**For specific guidance on the GLAAS 2018/2019 country survey questions please read the [GLAAS 2018/2019 survey guidance](#) carefully and refer to it when needed.** The survey guidance provides information on the 2018/2019 GLAAS cycle, the GLAAS country process, general survey instructions, as well as specific information on filling out the survey and detailed information on the survey questions. *Before beginning the GLAAS country survey, and throughout the process, countries should review and refer to the survey guidance document, including the glossary which provides definitions for specific terms.* Terms used in the WASH sector and their usage can vary by country. The glossary is therefore an essential reference to avoid misinterpretations.

The 2018/2019 GLAAS country survey is provided as a fillable PDF form. It is *highly* recommended that the form be used with an updated version of Adobe Acrobat Reader DC. A free version of Adobe Acrobat Reader can be downloaded at: <https://get.adobe.com/reader/>. The country survey should be completed on the desktop version of Adobe Reader and should not be filled out on a web browser version. The PDF can be saved and the respondent can return to it as needed: it is not necessary to fill out the entire survey in one sitting. **Please save the PDF at regular intervals. If the PDF is not saved, responses will be lost.**

If there is insufficient space for responses in the text boxes of the PDF form, please provide additional information in the [GLAAS 2018/2019 survey annex](#).

If multiple respondents in the country provide information in several GLAAS survey forms, please note that **the GLAAS focal point is responsible for compiling and reconciling all responses into one final country submission in a single PDF survey form** before sending to the GLAAS team at WHO.

For any questions on GLAAS, on the process or on the survey content, please contact the WHO regional office and the GLAAS team at [glaas@who.int](mailto:glaas@who.int). Please return completed surveys to the WHO regional office and to [glaas@who.int](mailto:glaas@who.int) by **December 15<sup>th</sup>, 2018**.

## WHO policy on the use and sharing of data collected by WHO in Member States

Data are the basis for all sound public health actions and the benefits of data-sharing are widely recognized, including scientific and public health benefits. Whenever possible, the World Health Organization (WHO) wishes to promote the sharing of health data, including but not restricted to surveillance and epidemiological data.

As used in this data collection tool, the term "Data provider" means a duly authorized representative of the governmental body with authority to release health data of the country to WHO (i.e. the Ministry of Health or other responsible governmental authority). The recipient of this data collection tool is responsible for ensuring that he/she is the Data provider, or for providing this data collection tool to the Data provider.

In this connection, and without prejudice to information sharing and publication pursuant to legally binding instruments, by providing data to WHO, the Data provider:

- confirms that all data to be supplied to WHO (including but not limited to the types listed in Table 1<sup>1</sup>) hereunder have been collected in accordance with applicable national laws, including data protection laws aimed at protecting the confidentiality of identifiable persons;
- agrees that WHO shall be entitled, subject always to measures to ensure the ethical and secure use of the data, and subject always to an appropriate acknowledgement of the country:
  - to publish the data, stripped of any personal identifiers (such data without personal identifiers being hereinafter referred to as "the Data") and make the Data available to any interested party on request (to the extent they have not, or not yet, been published by WHO) on terms that allow non-commercial, not-for-profit use of the Data for public health purposes (provided always that publication of the Data shall remain under the control of WHO);
  - to use, compile, aggregate, evaluate and analyse the Data and publish and disseminate the results thereof in conjunction with WHO's work and in accordance with the Organization's policies and practices.

Except where data-sharing and publication are required under legally binding instruments (International Health Regulations (2005), WHO Nomenclature Regulations 1967, etc.), the Data provider may in respect of certain data opt out of (any part of) the above, by notifying WHO thereof in writing at the following address, provided that any such notification shall clearly identify the data in question and clearly indicate the scope of the opt-out (in reference to the above), and provided that specific reasons shall be given for the opt-out.

Director Strategy, Policy and information (SPI)  
World Health Organization  
20, avenue Appia  
1211 Geneva  
Switzerland

☐ **I have read and agree with the terms and conditions.** For more information on the WHO Data Policy, please refer to: <http://www.who.int/publishing/datapolicy/en/>

**Please note that the check box above must be checked in order to proceed.**

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<sup>1</sup> Please see Table 1 in the GLAAS 2018/2019 survey guidance document.

**1. CONTACT INFORMATION:** To ensure the most accurate data, WHO recommends that the national focal person coordinate the collection and reporting of your government's responses to the survey. Please indicate the national focal person for GLAAS and the persons responsible for compiling responses for the various focal areas of this survey. *If multiple persons are responsible for one focal area listed below or if additional persons contribute information, please list the additional contributors, with their contact information, in the survey annex.*

Country:	
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	Last name (surname) of respondent	First name (given name) of respondent	Email address	Phone number (including country code)	Job title	Ministry/ Department/ Organization	Address	City and Postal Code
<b>GLAAS National Focal Person</b>								

Person responsible for compiling responses in the following areas:

<b>Sanitation</b>								
<b>Wastewater (if different from sanitation above)</b>								
<b>Drinking-water</b>								
<b>Hygiene</b>								
<b>WASH financing</b>								
<b>WASH in health care facilities, including health care waste management and infection prevention and control (IPC)</b>								
<b>WASH in schools</b>								
<b>Drinking-water regulator</b>								
<b>Wastewater regulator</b>								
<b>Integrated Water Resource Management</b>								
<b>Other area (please indicate):</b>								

*If multiple persons are responsible for the same area, or if additional persons have contributed, please provide their name, contact information and the topic area in the survey annex.*

## Section A: Governance

This section of the survey examines laws, policies, and plans supporting the provision of water and sanitation services. The section also examines the existence of regulatory frameworks, coordination mechanisms, roles and responsibilities of government and service providers, levels of stakeholder participation, and mechanisms to ensure accountability.

***Please consult the survey guidance for important information on the questions in Section A.***

### HUMAN RIGHTS

**A1. Human rights to water and sanitation: Does the constitution or other legislation recognize water and sanitation as human rights?**

a. Constitution

i. If yes, please provide the date (month/year) when recognition occurred.

b. Legislation

i. If yes, please provide the date (month/year) when recognition occurred.

	Water		Sanitation	
	Yes	No	Yes	No
a.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i.				
b.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i.				

ii. If yes to either or both of the above, please provide **title of the law(s)**, and the **text or link(s)** to the relevant provision:

c. Has a court recognized the human rights to water and sanitation in its decisions?

	Water		Sanitation	
	Yes	No	Yes	No
c.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

i. If yes, please list and briefly **describe the case(s) including the date(s)** and specify the court(s) that issued the decision(s).

### NATIONAL DEVELOPMENT PLANS

**A2. National development plans: Does your country have a national development plan?**

*Please see the survey guidance for information on national development plans.*

Yes	No
<input type="checkbox"/>	<input type="checkbox"/>

a. If yes, please provide the name of the plan and provide a link or attach a copy:

b. If yes, please provide the timeframe (years) for the development plan (e.g. 2012-2030).

c. If yes, does the plan address drinking-water?

Yes	No
<input type="checkbox"/>	<input type="checkbox"/>

i. If yes, how is drinking-water addressed?

d. If yes, does the plan address sanitation?

Yes	No
<input type="checkbox"/>	<input type="checkbox"/>

i. If yes, how is sanitation addressed?

**NATIONAL REGULATIONS AND STANDARDS**

**A3. Regulations and standards:** *To what extent do regulations, standards and regulatory instruments exist for drinking-water and wastewater? Please see the survey guidance for additional information.*

		Urban		Rural	
Drinking-water		Yes	No	Yes	No
a.	Are formal national <u>drinking-water quality standards</u> (i.e. parameters and limits) or equivalent in place?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	i. If yes, please provide the name and year of the most recent standards. Provide a link or attach a copy.				
b.	Are <u>drinking-water quality surveillance requirements</u> defined in formal instruments (e.g. regulations and/or standards)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	i. If yes, please provide the name and year of the most recent instruments. Provide a link or attach a copy.				
c.	Are roles and responsibilities to ensure drinking-water safety defined in formal instruments (e.g. legislation, regulation and/or standards, policies, plans)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	i. If yes, please provide the name and year of the most recent instruments. Provide a link or attach a copy.				
d.	Besides drinking-water quality, are formal national regulations or standards in place for <u>drinking-water service delivery requirements</u> (e.g. continuity, affordability, etc.)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	i. If yes, please provide the name and year of the regulations and/or standards. Provide a link or attach a copy.				

Sanitation and Wastewater		Yes	No
e.	Are formal national <u>sanitation and wastewater treatment standards</u> in place for the following:		
	On-site sanitation	<input type="checkbox"/>	<input type="checkbox"/>
	Faecal sludge	<input type="checkbox"/>	<input type="checkbox"/>
	Wastewater	<input type="checkbox"/>	<input type="checkbox"/>
	Safe re-use	<input type="checkbox"/>	<input type="checkbox"/>
	i. If yes, please provide the name(s) and year(s) of the standards. Provide a link or attach a copy.		
f.	Are wastewater surveillance requirements defined in formal instruments (e.g. regulations and/or standards)?	<input type="checkbox"/>	<input type="checkbox"/>
	i. If yes, please provide the name and year of the most recent instrument. Provide a link or attach a copy.		

**g. Risk management approaches:** Please indicate the status of any policy/regulatory instruments (e.g. policy, strategy, regulation, standard) that promote or require safety planning in your country:

	Please check one box per row				If formally approved:	
	No such instrument	Instrument anticipated	Instrument under development	Instrument formally approved	Is it <b>promoted or required</b> ?	Please provide a link for reference:
i. Water safety planning (WSP) or equivalent approach for <u>urban</u> areas	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Promoted <input type="checkbox"/> Required	
ii. Water safety planning (WSP) or equivalent approach for <u>rural</u> areas	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Promoted <input type="checkbox"/> Required	
iii. Sanitation safety planning (SSP) or the 2006 WHO Sanitation Guidelines for <u>urban</u> areas	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Promoted <input type="checkbox"/> Required	
iv. Sanitation safety planning (SSP) or the 2006 WHO Sanitation Guidelines for <u>rural</u> areas	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Promoted <input type="checkbox"/> Required	

**NATIONAL POLICIES AND PLANS****A4. Policy and plan development processes and effectiveness** (See survey guidance for definitions of 'policy' and 'plan'.)

- a. Please describe the process for developing and revising national **policies** for WASH, including the key stakeholders involved.

- i. Please describe the role of the public in developing and revising **policies**.

- ii. What information (i.e. guidelines, reports, data) was consulted in the development or revision of national **policies**?

- b. Please describe the process for developing and revising national **plans** for WASH, including key stakeholders involved.

- i. If applicable, please describe the process for **costing national WASH-related plans**. What tools and methods have been used to cost the plans?

- c. **Effectiveness of policy content:** *In your opinion, to what extent is the content of national policies effective for achieving national WASH objectives?*

	Not applicable <sup>2</sup>	Not effective	Partially effective	Effective
i. Urban sanitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ii. Rural sanitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
iii. Urban drinking-water supply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
iv. Rural drinking-water supply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
v. Hygiene	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
vi. WASH in health care facilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
vii. WASH in schools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- viii. Please provide examples of effective policy measures or critical elements of policies that made them effective and successful.

- ix. What tools or technical assistance would be useful to support strengthening WASH policy content?

- x. What challenges have been encountered in developing national WASH policies, and how were these challenges overcome?

<sup>2</sup> Please check this box if your country does not have any policies that cover the sub-sector.

**A5I. Urban sanitation policy and plan: To what extent does a national policy and implementation plan exist for urban sanitation? See the survey guidance for additional information.****a. Policy status (choose one):**

- 1 – No national policy  
 2 – National policy under development  
 3 – National policy formally approved  
 4 – Existing national policy undergoing revisions

1 ☐ 2 ☐ 3 ☐ 4 ☐

i. If a policy exists, please provide the name of the policy and provide a link or attach a copy:

ii. Year policy approved/expected (yyyy):

iii. If a policy exists, has the policy been shared through formal public announcement/notice?

Yes ☐ No ☐**b. Plan status (choose one):**

- 1 – No national implementation plan developed  
 2 – Plan under development  
 3 – Plan approved, but not yet implemented  
 4 – Plan approved and being partially implemented  
 5 – Plan approved and being fully implemented

1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐

i. If a plan exists, please provide the name of the plan and provide a link or attach a copy:

ii. Year plan approved/expected (yyyy):

iii. If a plan exists, has the plan been costed?

Yes ☐ No ☐

iv. If so, what is the total cost estimate (indicate currency)? Please specify the time period.

v. If a plan exists, has the plan been supported with adequate financing to implement the plan?

Less than 50% of what is needed	Between 50 to 75% of what is needed	More than 75% of what is needed
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

vi. If a plan exists, has a human resource needs assessment been conducted for the plan?

Yes ☐ No ☐

vii. Are there sufficient human resources to implement the plan?

Less than 50% of what is needed	Between 50 to 75% of what is needed	More than 75% of what is needed
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**c. Does the urban sanitation policy or plan address:**

- i. Access to safely managed sanitation services  
 ii. Access to improved sanitation facilities  
 iii. Access to shared sanitation facilities  
 iv. Open defecation  
 v. Reuse of municipal wastewater and faecal sludge  
 vi. Municipal wastewater/sewerage  
 vii. Faecal sludge collection and transport to treatment  
 viii. Faecal sludge treatment  
 ix. Roles and responsibilities to ensure compliance with sanitation regulations/standards  
 x. Climate resiliency of WASH technologies and management systems (e.g. sanitation safety plans to address climate risks)

**If included in the policy or plan, please specify the actor(s) responsible:**

Yes <input type="checkbox"/> No <input type="checkbox"/>	i.	
Yes <input type="checkbox"/> No <input type="checkbox"/>	ii.	
Yes <input type="checkbox"/> No <input type="checkbox"/>	iii.	
Yes <input type="checkbox"/> No <input type="checkbox"/>	iv.	
Yes <input type="checkbox"/> No <input type="checkbox"/>	v.	
Yes <input type="checkbox"/> No <input type="checkbox"/>	vi.	
Yes <input type="checkbox"/> No <input type="checkbox"/>	vii.	
Yes <input type="checkbox"/> No <input type="checkbox"/>	viii.	
Yes <input type="checkbox"/> No <input type="checkbox"/>	ix.	
Yes <input type="checkbox"/> No <input type="checkbox"/>	x.	

**A5II. Rural sanitation policy and plan: To what extent does a national policy and implementation plan exist for rural sanitation? See the survey guidance for additional information.****a. Policy status (choose one):**

- 1 – No national policy  
 2 – National policy under development  
 3 – National policy formally approved  
 4 – Existing national policy undergoing revisions

1 ☐ 2 ☐ 3 ☐ 4 ☐

i. If a policy exists, please provide the name of the policy and provide a link or attach a copy:

ii. Year policy approved/expected (yyyy):

iii. If a policy exists, has the policy been shared through formal public announcement/notice?

Yes ☐ No ☐**b. Plan status (choose one):**

- 1 – No national implementation plan developed  
 2 – Plan under development  
 3 – Plan approved, but not yet implemented  
 4 – Plan approved and being partially implemented  
 5 – Plan approved and being fully implemented

1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐

i. If a plan exists, please provide the name of the plan and provide a link or attach a copy:

ii. Year plan approved/expected (yyyy):

iii. If a plan exists, has the plan been costed?

Yes ☐ No ☐

iv. If so, what is the total cost estimate (indicate currency)? Please specify the time period.

v. If a plan exists, has the plan been supported with adequate financing to implement the plan?

Less than 50% of what is needed	Between 50 to 75% of what is needed	More than 75% of what is needed
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

vi. If a plan exists, has a human resource needs assessment been conducted for the plan?

Yes ☐ No ☐

vii. Are there sufficient human resources to implement the plan?

Less than 50% of what is needed	Between 50 to 75% of what is needed	More than 75% of what is needed
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**c. Does the rural sanitation policy or plan address:**

- i. Access to safely managed sanitation services  
 ii. Access to improved sanitation facilities  
 iii. Access to shared sanitation facilities  
 iv. Open defecation  
 v. Reuse of municipal wastewater and faecal sludge  
 vi. Faecal sludge collection and transport to treatment  
 vii. Faecal sludge treatment  
 viii. Roles and responsibilities to ensure compliance with sanitation regulations/standards  
 ix. Climate resiliency of WASH technologies and management systems (e.g. sanitation safety plans to address climate risks)

Yes ☐ No ☐Yes ☐ No ☐Yes ☐ No ☐Yes ☐ No ☐Yes ☐ No ☐Yes ☐ No ☐Yes ☐ No ☐Yes ☐ No ☐Yes ☐ No ☐Yes ☐ No ☐Yes ☐ No ☐**If included in the policy or plan, please specify the actor(s) responsible:**

i.	
ii.	
iii.	
iv.	
v.	
vi.	
vii.	
viii.	
ix.	



**A5III. Urban drinking-water policy and plan: To what extent does a national policy and implementation plan exist for urban drinking-water supply? See the survey guidance for additional information.**

**a. Policy status (choose one):**

- 1 – No national policy  
2 – National policy under development  
3 – National policy formally approved  
4 – Existing national policy undergoing revisions

1 ☐ 2 ☐ 3 ☐ 4 ☐

i. If a policy exists, please provide the name of the policy and provide a link or attach a copy:

ii. Year policy approved/expected (yyyy):

iii. If a policy exists, has the policy been shared through formal public announcement/notice?

Yes ☐ No ☐

**b. Plan status (choose one):**

- 1 – No national implementation plan developed  
2 – Plan under development  
3 – Plan approved, but not yet implemented  
4 – Plan approved and being partially implemented  
5 – Plan approved and being fully implemented

1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐

i. If a plan exists, please provide the name of the plan and provide a link or attach a copy:

ii. Year plan approved/expected (yyyy):

iii. If a plan exists, has the plan been costed?

Yes ☐ No ☐

iv. If so, what is the total cost estimate (indicate currency)? Please specify the time period.

v. If a plan exists, has the plan been supported with adequate financing to implement the plan?

Less than 50% of what is needed	Between 50 to 75% of what is needed	More than 75% of what is needed
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

vi. If a plan exists, has a human resource needs assessment been conducted for the plan?

Yes ☐ No ☐

vii. Are there sufficient human resources to implement the plan?

Less than 50% of what is needed	Between 50 to 75% of what is needed	More than 75% of what is needed
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**c. Does the urban drinking-water supply policy or plan address:**

- i. Safely managed drinking-water supply  
ii. Access to improved sources of drinking-water  
iii. Affordability measures  
iv. Household connections for drinking-water  
v. Rehabilitation of broken or disused drinking-water hand pumps  
vi. Roles and responsibilities to ensure drinking-water safety  
vii. Climate resiliency of WASH technologies and management systems (e.g. water safety plans to address climate risks)  
viii. Sustainability of urban water services (e.g. diversification of water sources)

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

**If included in the policy or plan, please specify the actor(s) responsible:**

i.

ii.

iii.

iv.

v.

vi.

vii.

viii.

**A5IV. Rural drinking-water policy and plan: To what extent does a national policy and implementation plan exist for rural drinking-water supply? See the survey guidance for additional information.**

**a. Policy status (choose one):**

- 1 – No national policy  
2 – National policy under development  
3 – National policy formally approved  
4 – Existing national policy undergoing revisions

1 ☐ 2 ☐ 3 ☐ 4 ☐

i. If a policy exists, please provide the name of the policy and provide a link or attach a copy:

ii. Year policy approved/expected (yyyy):

iii. If a policy exists, has the policy been shared through formal public announcement/notice?

Yes ☐ No ☐

**b. Plan status (choose one):**

- 1 – No national implementation plan developed  
2 – Plan under development  
3 – Plan approved, but not yet implemented  
4 – Plan approved and being partially implemented  
5 – Plan approved and being fully implemented

1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐

i. If a plan exists, please provide the name of the plan and provide a link or attach a copy:

ii. Year plan approved/expected (yyyy):

iii. If a plan exists, has the plan been costed?

Yes ☐ No ☐

iv. If so, what is the total cost (indicate currency)? Please specify the time period.

v. If a plan exists, has the plan been supported with adequate financing to implement the plan?

Less than 50%  
of what is  
needed

Between 50  
to 75% of  
what is  
needed

More than  
75% of what  
is needed

☐

☐

☐

vi. If a plan exists, has a human resource needs assessment been conducted for the plan?

Yes ☐ No ☐

vii. Are there sufficient human resources to implement the plan?

Less than 50%  
of what is  
needed

Between 50  
to 75% of  
what is  
needed

More than  
75% of what  
is needed

☐

☐

☐

**c. Does the rural drinking-water supply policy or plan address:**

- i. Safely managed drinking-water supply  
ii. Access to improved sources of drinking-water  
iii. Affordability measures  
iv. Household connections for drinking-water  
v. Rehabilitation of broken or disused drinking-water hand pumps  
vi. Roles and responsibilities to ensure drinking-water safety  
vii. Climate resiliency of WASH technologies and management systems (e.g. water safety plans to address climate risks)  
viii. Sustainability of rural water services (e.g. supply of parts, human resources for operation and maintenance)

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

Yes ☐ No ☐

**If included in the policy or plan, please specify the actor(s) responsible:**

i.

ii.

iii.

iv.

v.

vi.

vii.

viii.

**A5V. Hygiene promotion policy and plan: To what extent does a national policy and implementation plan exist for hygiene promotion? See the survey guidance for additional information.**

**a. Policy status (choose one):**

- 1 – No national policy  
 2 – National policy under development  
 3 – National policy formally approved  
 4 – Existing national policy undergoing revisions

1 ☐ 2 ☐ 3 ☐ 4 ☐

i. If a policy exists, please provide the name of the policy and provide a link or attach a copy:

ii. Year policy approved/expected (yyyy):

iii. If a policy exists, has the policy been shared through formal public announcement/notice?

Yes ☐ No ☐

**b. Plan status (choose one):**

- 1 – No national implementation plan developed  
 2 – Plan under development  
 3 – Plan approved, but not yet implemented  
 4 – Plan approved and being partially implemented  
 5 – Plan approved and being fully implemented

1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐

i. If a plan exists, please provide the name of the plan and provide a link or attach a copy:

ii. Year plan approved/expected (yyyy):

iii. If a plan exists, has the plan been costed?

Yes ☐ No ☐

iv. If so, what is the total cost estimate (indicate currency)? Please specify the time period.

v. If a plan exists, has the plan been supported with adequate financing to implement the plan?

Less than 50% of what is needed	Between 50 to 75% of what is needed	More than 75% of what is needed
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

vi. If a plan exists, has a human resource needs assessment been conducted for the plan?

Yes ☐ No ☐

vii. Are there sufficient human resources to implement the plan?

Less than 50% of what is needed	Between 50 to 75% of what is needed	More than 75% of what is needed
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**c. Does the hygiene promotion policy or plan address:**

- i. Promotion of handwashing with soap and water  
 ii. Safe menstrual hygiene management  
 iii. Hygiene promotion in health care facilities  
 iv. Hygiene promotion in schools

Yes <input type="checkbox"/> No <input type="checkbox"/>	i.	
Yes <input type="checkbox"/> No <input type="checkbox"/>	ii.	
Yes <input type="checkbox"/> No <input type="checkbox"/>	iii.	
Yes <input type="checkbox"/> No <input type="checkbox"/>	iv.	

**If included in the policy or plan, please specify the actor(s) responsible:**

**A5VI. Institutional WASH in policies and plans:** *Please see the survey guidance for additional information.***a. WASH in health care facilities:**

- i. Is WASH in health care facilities included in any national policy or plan?
- ii. If yes, please list the policies or plans that include WASH in health care facilities:
- iii. Year policy/plan approved/expected (YYYY):
- iv. Which national ministries or institutions are responsible for the policy or plan?
- v. Has the plan been costed?

i.	Yes <input type="checkbox"/> No <input type="checkbox"/>		
ii.	1.	2.	3.
iii.	1.	2.	3.
iv.	1.	2.	3.
v.	Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>		

**Do any of the policies or plans include:**

- vi. Measures to rehabilitate broken or disused water, sanitation, and health care management systems in health care facilities
- vii. Measures to address antimicrobial resistance
- viii. Measures to address quality of care

**If included in a policy or plan, specify the actor(s) responsible:**

vi.	Yes <input type="checkbox"/> No <input type="checkbox"/>		
vii.	Yes <input type="checkbox"/> No <input type="checkbox"/>		
viii.	Yes <input type="checkbox"/> No <input type="checkbox"/>		

**b. WASH in schools:**

- i. Is WASH in schools included in any national policy or plan?
- ii. If yes, please list the policies or plans that include WASH in schools:
- iii. Year policy/plan approved/expected (YYYY):
- iv. Which national ministries or institutions are responsible for the policy/plan?
- v. Has the plan been costed?

i.	Yes <input type="checkbox"/> No <input type="checkbox"/>		
ii.	1.	2.	3.
iii.	1.	2.	3.
iv.	1.	2.	3.
v.	Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>		

**Do any of the policies or plans include:**

- vi. Measures to rehabilitate broken or disused water or sanitation facilities in schools
- vii. Measures for safe menstrual hygiene management

**If included in a policy or plan, specify the actor(s) responsible:**

vi.	Yes <input type="checkbox"/> No <input type="checkbox"/>		
vii.	Yes <input type="checkbox"/> No <input type="checkbox"/>		

**c. Infection prevention and control (IPC):**

- i. Is IPC included in any national policy or plan?
- ii. If yes, please list the policies or plans that include IPC:
- iii. Year policy/plan approved/expected (YYYY):
- iv. Which national ministries or institutions are responsible for the policy/plan?
- v. Has the plan been costed?

i.	Yes <input type="checkbox"/> No <input type="checkbox"/>		
ii.	1.	2.	3.
iii.	1.	2.	3.
iv.	1.	2.	3.
v.	Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>		

**A5VI.** (continued from previous page)**c. Infection prevention and control (IPC) (cont.):****Do any of the policies or plans include:****vi.** WASH in health care facilities**vii.** Measures for health care waste management**viii.** Measures to address antimicrobial resistance**ix.** Measures to address quality of care**If included in the policy or plan, specify the actor(s) responsible:**

<b>vi.</b>	Yes <input type="checkbox"/> No <input type="checkbox"/>	
<b>vii.</b>	Yes <input type="checkbox"/> No <input type="checkbox"/>	
<b>viii.</b>	Yes <input type="checkbox"/> No <input type="checkbox"/>	
<b>ix.</b>	Yes <input type="checkbox"/> No <input type="checkbox"/>	

**d. Health care waste management:****i.** Is health care waste management included in any national policy or plan?**ii.** If yes, please list the policies or plan that include health case waste management:**iii.** Year policy/plan approved/expected (YYYY):**iv.** Which national ministries or institutions are responsible for the policy/plan?**v.** Has the plan been costed?

<b>i.</b>	Yes <input type="checkbox"/> No <input type="checkbox"/>		
<b>ii.</b>	1.	2.	3.
<b>iii.</b>	1.	2.	3.
<b>iv.</b>	1.	2.	3.
<b>v.</b>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

**A5VII. Other WASH policies and plans:** *If additional space is needed, please use the survey annex.*

- a. Other WASH-related policies:** Please provide the name and year of other WASH-related policies not yet mentioned above, e.g. health systems strengthening, quality of care, WASH in the workplace, etc. Please provide a link or attach a copy of the policies.

- b. Other WASH-related plans:** Please provide the name and year of other WASH-related plan(s) not yet mentioned above. Please provide a link or attach a copy of the plan(s).

- c.** Any other comments on national WASH policies or plans (such as if there are different policies/plans applicable to different geographic areas in the country):

**NATIONAL TARGETS****A6. National target setting process****a. Which ministry/national institution is the lead for setting national targets in the following areas:**

i. Sanitation:	
ii. Drinking-water:	
iii. Hygiene:	
iv. WASH in health care facilities:	
v. WASH in schools:	
vi. Other WASH target areas (specify target area and lead ministry/institution):	

**b. For each WASH area, does the process for setting national targets include the following elements?**

	Sanitation	Drinking-water	Hygiene	WASH in health care facilities	WASH in schools
i. Inclusion of stakeholders	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
ii. If yes, please specify which stakeholders are included in the target setting process per area:					
	Sanitation:				
	Drinking-water:				
	Hygiene:				
	WASH in health care facilities:				
	WASH in schools				
	Sanitation	Drinking-water	Hygiene	WASH in health care facilities	WASH in schools
iii. Baseline analysis including a review of existing targets and indicator frameworks and a review of the current WASH situation	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
iv. Addressing financial aspects of the targets through financial analysis	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
v. Assessment of human resources needed to achieve targets	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
vi. If yes, are there sufficient human resources to achieve targets? 1 – Less than 50% of what is needed 2 – Between 50 to 75% of what is needed 3 – More than 75% of what is needed	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>
vii. Formulating and sharing the draft targets with the public for consultation	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
viii. Revision of the targets based on key stakeholder and public consultations	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
ix. Endorsement of national targets at the appropriate political level	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
x. Sharing the final targets and program of work with the public, including key stakeholders	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

**A7I. National targets for sanitation**

Check this box if urban and rural sanitation are included in the same national sanitation coverage target.



If your country has one sanitation coverage target, please answer a,b,c below for the one target, then skip to question A7II.

**a. Coverage target for urban sanitation**

i. What is the national urban sanitation coverage target?

Please specify what the coverage target measures:	Target value	Target year	Title and date of policy/plan where target is established. If available, please <b>provide a link or attach a copy</b> .
<i>e.g. Percentage of urban population with access to improved sanitation.</i>	<i>e.g. 85%</i>	<i>e.g. 2025</i>	

ii. What types of sanitation facilities/services are included in the urban sanitation coverage target?

**b. Are the following elements of sanitation facilities/services included in the definition of coverage in the above target:**

Yes

No

i. Excreta is transported through a sewerage network with wastewater and treated off-site

☐
☐

ii. Excreta is temporarily stored, emptied, and treated off-site

☐
☐

iii. Excreta is treated and disposed of on-site

☐
☐

iv. Improved sanitation facilities including flush/pour flush to piped sewer system, septic tanks or pit latrines, ventilated improved pit latrines, composting toilets or pit latrines with slabs

☐
☐

v. Shared sanitation facilities between two or more households

☐
☐

vi. Please provide any additional details about the national urban sanitation coverage definition.

**c. Additional targets for urban sanitation**

If yes, please specify what the target measures and what is included in the measure.

Target value

Target year

Title and date of policy/plan where target is established. If available, please **provide a link or attach a copy**.

Are there urban sanitation targets for the following areas?

Yes

No

*e.g. Percentage of population practicing open defecation, which is the practice of defecating in the environment.*

*e.g. 0%*

*e.g. 2025*

i. Municipal wastewater/sewerage network

☐
☐

ii. Faecal sludge collection and transport to treatment

☐
☐

iii. Faecal sludge treatment

☐
☐

iv. Reuse of municipal wastewater and faecal sludge

☐
☐

v. Open defecation

☐
☐
**Other urban sanitation targets:**

vi.

☐
☐

vii.

☐
☐

viii.

☐
☐

**A7I.** (Continued from previous page)

*NOTE: Skip d,e,f if your country has one sanitation coverage target for both urban and rural sanitation.*

**d. Coverage target for rural sanitation**

i. What is the national rural sanitation coverage target?

Please specify what the coverage target measures:	Target value	Target year	Title and date of policy/plan where target is established. If available, please <b>provide a link or attach a copy</b> .
<i>e.g. Percentage of rural population with access to improved sanitation.</i>	<i>e.g. 85%</i>	<i>e.g. 2025</i>	

ii. What types of sanitation facilities/services are included in the rural sanitation coverage target?

--

**e. Are the following elements of sanitation facilities/services included in the definition of coverage in the above target:**

	Yes	No
i. Excreta is transported through a sewerage network with wastewater and treated off-site	<input type="checkbox"/>	<input type="checkbox"/>
ii. Excreta is temporarily stored, emptied, and treated off-site	<input type="checkbox"/>	<input type="checkbox"/>
iii. Excreta is treated and disposed of on-site	<input type="checkbox"/>	<input type="checkbox"/>
iv. Improved sanitation facilities including flush/pour flush to piped sewer system, septic tanks or pit latrines, ventilated improved pit latrines, composting toilets or pit latrines with slabs	<input type="checkbox"/>	<input type="checkbox"/>
v. Shared sanitation facilities between two or more households	<input type="checkbox"/>	<input type="checkbox"/>
vi. Please provide any additional details about the national <u>rural</u> sanitation coverage definition.		

**f. Additional targets for rural sanitation**

	Yes	No	If yes, please specify what the target measures and what is included in the measure.	Target value	Target year	Title and date of policy/plan where target is established. If available, please <b>provide a link or attach a copy</b> .
Are there <u>rural</u> sanitation targets for the following areas?			<i>e.g. Percentage of population practicing open defecation, which is the practice of defecating in the environment.</i>	<i>e.g. 0%</i>	<i>e.g. 2025</i>	
i. Faecal sludge collection and transport to treatment	<input type="checkbox"/>	<input type="checkbox"/>				
ii. Faecal sludge treatment	<input type="checkbox"/>	<input type="checkbox"/>				
iii. Reuse of wastewater and faecal sludge	<input type="checkbox"/>	<input type="checkbox"/>				
iv. Open defecation	<input type="checkbox"/>	<input type="checkbox"/>				

**Other rural sanitation targets:**

v.	<input type="checkbox"/>	<input type="checkbox"/>				
vi.	<input type="checkbox"/>	<input type="checkbox"/>				
vii.	<input type="checkbox"/>	<input type="checkbox"/>				



**A7II. National targets for drinking-water supply**

Check this box if urban and rural drinking-water supply are included in the same national drinking-water coverage target. If your country has one drinking-water coverage target, please answer a,b,c below for the one target, then skip to question A7III.

**a. Coverage target for urban drinking-water supply**

i. What is the national urban drinking-water supply coverage target?

Please specify what the coverage target measures: <i>e.g. Percentage of urban population with access to improved drinking-water supply.</i>	Target value <i>e.g. 85%</i>	Target year <i>e.g. 2025</i>	Title and date of policy/plan where target is established. If available, please <b>provide a link or attach a copy.</b>

ii. What types of drinking-water sources are included in the urban drinking-water supply coverage target? (*e.g. including piped water, boreholes or tubewells, protected dug wells, protected springs, rainwater, and packaged or delivered water*)

**b. Are the following components included in the definition of coverage for the above target:**

	Yes	No	If yes, specify value ( <i>e.g. 30 minutes travel-time</i> ) or details about the component
i. Minimum volume of water per day	<input type="checkbox"/>	<input type="checkbox"/>	
ii. Minimum service hours per day	<input type="checkbox"/>	<input type="checkbox"/>	
iii. Maximum round-trip collection time, including queuing	<input type="checkbox"/>	<input type="checkbox"/>	
iv. Maximum roundtrip distance	<input type="checkbox"/>	<input type="checkbox"/>	
v. Drinking-water is accessible on premises	<input type="checkbox"/>	<input type="checkbox"/>	
vi. Drinking-water is free from contamination	<input type="checkbox"/>	<input type="checkbox"/>	

vii. Please provide any additional details about the national urban drinking-water coverage definition.

**c. Additional targets for urban drinking-water supply**

	Yes	No	If yes, please specify what the target measures and what is included in the measure. <i>e.g. national average for the percentage of household income spent on drinking-water</i>	Target value <i>e.g. 0%</i>	Target year <i>e.g. 2025</i>	Title and date of policy/plan where target is established. If available, please <b>provide a link or attach a copy.</b>
Are there <u>urban</u> drinking-water targets for the following areas?						
i. Drinking-water quality	<input type="checkbox"/>	<input type="checkbox"/>				
ii. Household connections for drinking-water	<input type="checkbox"/>	<input type="checkbox"/>				
iii. Affordability of drinking-water	<input type="checkbox"/>	<input type="checkbox"/>				

**Other urban drinking-water targets:**

iv.	<input type="checkbox"/>	<input type="checkbox"/>				
v.	<input type="checkbox"/>	<input type="checkbox"/>				
vi.	<input type="checkbox"/>	<input type="checkbox"/>				

**A7II.** (continued from previous page)

*NOTE: Skip d,e,f if your country has one drinking-water supply coverage target for both urban and rural sanitation.*

**d. Coverage target for rural drinking-water supply**

i. What is the national rural drinking-water supply coverage target?

Please specify what the coverage target measures: <i>e.g. Percentage of rural population with access to improved drinking-water supply.</i>	Target value <i>e.g. 85%</i>	Target year <i>e.g. 2025</i>	Title and date of policy/plan where target is established. If available, please <b>provide a link or attach a copy.</b>

ii. What types of drinking-water sources are included in the rural drinking-water supply coverage target? (*e.g. including piped water, boreholes or tubewells, protected dug wells, protected springs, rainwater, and packaged or delivered water*)

**e. Are the following components included in the definition of coverage for the above target:**

	Yes	No	If yes, specify value ( <i>e.g. 30 minutes travel-time</i> ) or details about the component
i. Minimum volume of water per day	<input type="checkbox"/>	<input type="checkbox"/>	
ii. Minimum service hours per day	<input type="checkbox"/>	<input type="checkbox"/>	
iii. Maximum round-trip collection time, including queuing	<input type="checkbox"/>	<input type="checkbox"/>	
iv. Maximum roundtrip distance	<input type="checkbox"/>	<input type="checkbox"/>	
v. Drinking-water is accessible on premises	<input type="checkbox"/>	<input type="checkbox"/>	
vi. Drinking-water is free from contamination	<input type="checkbox"/>	<input type="checkbox"/>	
vii. Please provide any additional details about the national <u>rural</u> drinking-water coverage definition.			

**f. Additional targets for rural drinking-water supply**

			If yes, please specify what the target measures and what is included in the measure.	Target value	Target year	Title and date of policy/plan where target is established. If available, please <b>provide a link or attach a copy.</b>
Are there <u>rural</u> drinking-water targets for the following areas?	Yes	No	<i>e.g. national average for the percentage of household income spent on drinking-water</i>	<i>e.g. 0%</i>	<i>e.g. 2025</i>	
i. Drinking-water quality	<input type="checkbox"/>	<input type="checkbox"/>				
ii. Household connections for drinking-water	<input type="checkbox"/>	<input type="checkbox"/>				
iii. Affordability of drinking-water	<input type="checkbox"/>	<input type="checkbox"/>				

**Other rural drinking-water targets:**

iv.	<input type="checkbox"/>	<input type="checkbox"/>				
v.	<input type="checkbox"/>	<input type="checkbox"/>				
vi.	<input type="checkbox"/>	<input type="checkbox"/>				

**A7III. National targets for hygiene**

*NOTE: Hygiene in health care facilities and hygiene in schools is covered in A7IV.*

**a. Coverage target for hygiene**

i. What is the national hygiene/handwashing coverage target?

Please specify what the coverage target measures:	Target value	Target year	Title and date of policy/plan where target is established. If available, please provide a link or attach a copy.
<i>e.g. Percentage of population with handwashing facilities with soap on premises.</i>	<i>e.g. 85%</i>	<i>e.g. 2025</i>	

ii. How is the coverage target defined?

--

**b. Are the following elements of hygiene criteria/facilities included in the definition of coverage in the target above:**

	Yes	No
i. Handwashing facility on premises	<input type="checkbox"/>	<input type="checkbox"/>
ii. Soap and water on premises	<input type="checkbox"/>	<input type="checkbox"/>

**c. Additional targets for hygiene**

			If yes, please specify what the target measures and what is included in the measure.	Target value	Target year	Title and date of policy/plan where target is established. If available, please provide a link or attach a copy.
Are there <u>hygiene</u> targets for the following areas?	Yes	No	<i>e.g. Percentage of population reached with hygiene promotion activities</i>	<i>e.g. 75%</i>	<i>e.g. 2025</i>	
i. Hygiene promotion in urban areas	<input type="checkbox"/>	<input type="checkbox"/>				
ii. Hygiene promotion in rural areas	<input type="checkbox"/>	<input type="checkbox"/>				
iii. Safe menstrual hygiene management	<input type="checkbox"/>	<input type="checkbox"/>				
<b>Other hygiene targets:</b>						
iv.	<input type="checkbox"/>	<input type="checkbox"/>				
v.	<input type="checkbox"/>	<input type="checkbox"/>				
vi.	<input type="checkbox"/>	<input type="checkbox"/>				

**A7IV. National targets for institutional WASH**

- a. Health care facilities:** Are there national targets for WASH in health care facilities for the following areas?

	Yes	No	If yes, please specify what the target measures and what is included in the measure: <i>e.g. Percentage of health care facilities with basic sanitation facilities on premises designated for patients</i>	Target value <i>e.g. 75%</i>	Target year <i>e.g. 2025</i>	Title and date of policy/plan where target is established. If available, please <b>provide a link or attach a copy.</b>
i. Sanitation facilities	<input type="checkbox"/>	<input type="checkbox"/>				
ii. Drinking-water supply	<input type="checkbox"/>	<input type="checkbox"/>				
iii. Hygiene/handwashing	<input type="checkbox"/>	<input type="checkbox"/>				

Are there additional targets for WASH in health care facilities?

	Yes	No	If yes, please specify what the target measures and what is included in the measure.	Target value	Target year	Title and date of policy/plan where target is established. If available, please <b>provide a link or attach a copy.</b>
iv.	<input type="checkbox"/>	<input type="checkbox"/>				
v.	<input type="checkbox"/>	<input type="checkbox"/>				
vi.	<input type="checkbox"/>	<input type="checkbox"/>				

- b. Schools:** Are there national targets for WASH in schools for the following areas?

	Yes	No	If yes, please specify what the target measures and what is included in the measure: <i>e.g. Percentage of schools with access to an improved drinking-water source on premises</i>	Target value <i>e.g. 95%</i>	Target year <i>e.g. 2030</i>	Title and date of policy/plan where target is established. If available, please <b>provide a link or attach a copy.</b>
i. Sanitation facilities	<input type="checkbox"/>	<input type="checkbox"/>				
ii. Drinking-water supply	<input type="checkbox"/>	<input type="checkbox"/>				
iii. Hygiene/handwashing	<input type="checkbox"/>	<input type="checkbox"/>				

Are there additional targets for WASH in schools?

	Yes	No	If yes, please specify what the target measures and what is included in the measure.	Target value	Target year	Title and date of policy/plan where target is established. If available, please <b>provide a link or attach a copy.</b>
iv.	<input type="checkbox"/>	<input type="checkbox"/>				
v.	<input type="checkbox"/>	<input type="checkbox"/>				
vi.	<input type="checkbox"/>	<input type="checkbox"/>				

**A8. Progress on national targets: Based on the national targets identified and defined in question A7, please provide information on the baseline and most recent data.**

	<b>Baseline Value:</b> Indicate the value of the target measured at baseline <i>e.g. 62%</i>	<b>Baseline Year:</b> Indicate the year the baseline value was measured <i>e.g. 2010</i>	<b>Latest Value:</b> If available, please indicate the most recent estimate of the national target <i>e.g. 67%</i>	<b>Latest Year:</b> Indicate the year of the most recent estimate of the national target <i>e.g. 2018</i>	<b>Data Source:</b> What is the source of the data for monitoring this target? If available, provide a link or attach the source of the data (e.g. annual monitoring reports).	Is the data to assess progress on national targets publicly available?	
						Yes	No
<b>a. i. Urban sanitation coverage</b>						<input type="checkbox"/>	<input type="checkbox"/>
ii. Municipal wastewater/sewerage network						<input type="checkbox"/>	<input type="checkbox"/>
iii. Faecal sludge collection and transport to treatment						<input type="checkbox"/>	<input type="checkbox"/>
iv. Faecal sludge treatment						<input type="checkbox"/>	<input type="checkbox"/>
v. Reuse of municipal wastewater and faecal sludge						<input type="checkbox"/>	<input type="checkbox"/>
vi. Open defecation						<input type="checkbox"/>	<input type="checkbox"/>
vii.						<input type="checkbox"/>	<input type="checkbox"/>
viii.						<input type="checkbox"/>	<input type="checkbox"/>
ix.						<input type="checkbox"/>	<input type="checkbox"/>
<b>b. i. Rural sanitation coverage</b>						<input type="checkbox"/>	<input type="checkbox"/>
ii. Faecal sludge collection and transport to treatment						<input type="checkbox"/>	<input type="checkbox"/>
iii. Faecal sludge treatment						<input type="checkbox"/>	<input type="checkbox"/>
iv. Reuse of wastewater and faecal sludge						<input type="checkbox"/>	<input type="checkbox"/>
v. Open defecation						<input type="checkbox"/>	<input type="checkbox"/>
vi.						<input type="checkbox"/>	<input type="checkbox"/>
vii.						<input type="checkbox"/>	<input type="checkbox"/>
viii.						<input type="checkbox"/>	<input type="checkbox"/>
<b>c. i. Urban drinking-water supply coverage</b>						<input type="checkbox"/>	<input type="checkbox"/>
ii. Drinking-water quality						<input type="checkbox"/>	<input type="checkbox"/>
iii. Household connections for drinking-water						<input type="checkbox"/>	<input type="checkbox"/>
iv. Affordability of drinking-water						<input type="checkbox"/>	<input type="checkbox"/>
v.						<input type="checkbox"/>	<input type="checkbox"/>
vi.						<input type="checkbox"/>	<input type="checkbox"/>
vii.						<input type="checkbox"/>	<input type="checkbox"/>

**A8.** (Continued from previous page)

	<b>Baseline Value:</b> Indicate the value of the target measured at baseline	<b>Baseline Year:</b> Indicate the year the baseline value was measured	<b>Latest Value:</b> If available, please indicate the most recent estimate of the national target	<b>Latest Year:</b> Indicate the year of the most recent estimate of the national target	<b>Data Source:</b> What is the source of the data for monitoring this target? If available, provide a link or attach the source of the data (e.g. annual monitoring reports).	Is the data to assess progress on national targets publicly available?	
	<i>e.g. 62%</i>	<i>e.g. 2010</i>	<i>e.g. 67%</i>	<i>e.g. 2018</i>		Yes	No
<b>d. i. Rural drinking-water supply coverage</b>						<input type="checkbox"/>	<input type="checkbox"/>
ii. Drinking-water quality						<input type="checkbox"/>	<input type="checkbox"/>
iii. Household connections for drinking-water						<input type="checkbox"/>	<input type="checkbox"/>
iv. Affordability of drinking-water						<input type="checkbox"/>	<input type="checkbox"/>
v.						<input type="checkbox"/>	<input type="checkbox"/>
vi.						<input type="checkbox"/>	<input type="checkbox"/>
vii.						<input type="checkbox"/>	<input type="checkbox"/>
<b>e. i. Hygiene coverage</b>						<input type="checkbox"/>	<input type="checkbox"/>
ii. Hygiene promotion in urban areas						<input type="checkbox"/>	<input type="checkbox"/>
iii. Hygiene promotion in rural areas						<input type="checkbox"/>	<input type="checkbox"/>
iv. Safe menstrual hygiene management						<input type="checkbox"/>	<input type="checkbox"/>
v.						<input type="checkbox"/>	<input type="checkbox"/>
vi.						<input type="checkbox"/>	<input type="checkbox"/>
vii.						<input type="checkbox"/>	<input type="checkbox"/>
<b>f. WASH in health care facilities</b>							
i. Sanitation facilities						<input type="checkbox"/>	<input type="checkbox"/>
ii. Drinking-water supply						<input type="checkbox"/>	<input type="checkbox"/>
iii. Hygiene/handwashing						<input type="checkbox"/>	<input type="checkbox"/>
iv.						<input type="checkbox"/>	<input type="checkbox"/>
v.						<input type="checkbox"/>	<input type="checkbox"/>
vi.						<input type="checkbox"/>	<input type="checkbox"/>
<b>g. WASH in schools</b>							
i. Sanitation facilities						<input type="checkbox"/>	<input type="checkbox"/>
ii. Drinking-water supply						<input type="checkbox"/>	<input type="checkbox"/>
iii. Hygiene/handwashing						<input type="checkbox"/>	<input type="checkbox"/>
iv.						<input type="checkbox"/>	<input type="checkbox"/>
v.						<input type="checkbox"/>	<input type="checkbox"/>
vi.						<input type="checkbox"/>	<input type="checkbox"/>

**EQUITY MEASURES****A9. Vulnerable groups in national WASH policies and plans****a. Sanitation: To what extent are there measures to extend services to vulnerable populations in national policies and plans?**

	Not Applicable	Do policies or plans for sanitation have specific measures to extend services to vulnerable populations?		If yes, what is the level of implementation? 1 – Not yet implemented 2 – Partially implemented 3 – Fully implemented	If yes, please describe the measures:	Please provide a link or reference to the policy/plan where measures to extend services to the vulnerable group are included.
		Yes	No			
<b>i. Poor populations</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
<b>ii. Populations living in slums or informal settlements</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
<b>iii. Populations living in remote or hard to reach areas</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
<b>iv. Indigenous populations</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
<b>v. Internally displaced persons and/or refugees</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
<b>vi. Women</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
<b>vii. Ethnic minorities</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
<b>viii. People living with disabilities</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
<b>ix. Populations with high burden of disease such as diarrhoea, undernutrition, neglected tropical diseases, and cholera</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
<b>x. Other vulnerable groups (please specify):</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		

A9. (Continued from previous page)

**b. Drinking-water: To what extent are there measures to extend services to vulnerable populations in national policies and plans?**

	Not Applicable	Do policies or plans for drinking-water have specific measures to extend services to vulnerable populations?		If yes, what is the level of implementation? 1 – Not yet implemented 2 – Partially implemented 3 – Fully implemented	If yes, please describe the measures:	Please provide a link or reference to the policy/plan where measures to extend services to the vulnerable group are included.
		Yes	No			
i. Poor populations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
ii. Populations living in slums or informal settlements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
iii. Populations living in remote or hard to reach areas	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
iv. Indigenous populations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
v. Internally displaced persons and/or refugees	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
vi. Women	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
vii. Ethnic minorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
viii. People living with disabilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
ix. Populations with high burden of disease such as diarrhoea, undernutrition, neglected tropical diseases, and cholera	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		
x. Other vulnerable groups (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>		



**A10. Vulnerable groups in national WASH targets: Are there national drinking-water, sanitation, or hygiene targets specific to vulnerable groups?**

		Not Applicable	Is there a national WASH target specific to the vulnerable group:		If yes, what is the target?  <i>e.g. 96% of rural women have access to safely managed drinking-water by 2025</i>	Please provide a link or reference to the national target(s) that is specific to the vulnerable group.
			Yes	No		
a.	Poor populations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
b.	Populations living in slums or informal settlements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
c.	Populations living in remote or hard to reach areas	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
d.	Indigenous populations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
e.	Internally displaced persons and/or refugees	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
f.	Women	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
g.	Ethnic minorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
h.	People living with disabilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
i.	Populations with high burden of disease such as diarrhoea, undernutrition, neglected tropical diseases, and cholera	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
j.	Other vulnerable groups (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

## COORDINATION

**A11. Institutional roles and responsibilities and lead agencies:** *Please list ministries/national institutions/non-governmental stakeholders with responsibilities in the following areas: governing/setting regulations; providing service (including planning, financing, and operating); monitoring and surveillance. Indicate the level of responsibility of the institution in each area on a scale of 1 to 3 (1. None, 2. Contributor, 3. Lead). If needed, please add more information in the GLAAS 2018/2019 survey annex.*

[illegible]

**A12. Coordination between actors: Does a mechanism exist to coordinate the work of different ministries, institutions, and organizations with responsibilities for WASH (water, sanitation, hygiene, health, education, environment, agriculture, public works, etc.)?**

Yes, a formal mechanism exists	Yes, an informal mechanism exists	A mechanism is in development	No
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If a mechanism exists or is in development, does the coordination process:

	Yes	Partly	No
a. Include all ministries and governmental agencies that directly or indirectly influence service delivery?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Include non-governmental stakeholders (e.g. advocacy groups, civil society organizations, NGOs)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Include donors that contribute to WASH activities nationally?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Include mutual review and assessment as part of the mechanism?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Apply evidence-based decision-making, including consideration of agreed indicators (e.g. access, WASH related diseases, WASH finance)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Base its work on agreed sectoral framework or national plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. Include documentation of the process and activities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. Have a budget line allocated for coordination activities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

i. How often does the coordination body meet or formally interact?

j. If the coordination mechanism is formal, please name the mechanism and provide a link or copy of documentation on the formal mechanism in place.

k. If the coordination mechanism is informal, please provide a description.

**A13. Coordinating with development partners: To what extent do development partners (donors, NGOs, international organizations, etc.) coordinate with government institutions?**

		Total number of development partners implementing WASH projects	Number of development partners participating in central government-led sector coordination framework (ref to A12)	Number of development partners actively coordinating work with local authorities in support of government policies, processes and defined priorities	Number of development partners reporting results of monitoring back to government institutions
a. Sanitation	a.				
b. Drinking-water supply	b.				
c. Hygiene	c.				

d. Development partners (top 5 in terms of WASH ODA disbursed) <i>Please see the survey guidance for further information</i>		Estimate the percentage of WASH activities that are captured in/aligned with the national WASH plans
1.		
2.		
3.		
4.		
5.		

**COMMUNITY AND USER PARTICIPATION**

**A14. a. Participation procedures established at the national level: Are there clearly defined procedures in laws or policies for participation<sup>3</sup> by service users (e.g. households) and communities and what is the level of participation?**

Levels of participation are defined as below:

**1: Very Low – No communication:** No communication between government and stakeholders on policy, planning and management.

**2: Low – Communication:** Information on policy, planning and management is made available to stakeholders.

**3: Medium-Low – Occasional Consultation:** Government authorities occasionally request information, experiences and opinions of stakeholders.

**4: Medium-High – Regular Consultation:** Government authorities regularly request information, experiences and opinions of stakeholders.

**5: High – Collaboration:** Regular opportunities for stakeholders to take part in relevant policy, planning and management processes.

**6: Very High – Representation:** Formal representation of stakeholders in government processes contributing to joint decision-making on important issues and activities, as appropriate.

	Procedures defined in law or policy <sup>4</sup>		If yes, does law or policy specifically mention women's participation?		Extent to which <u>service users / communities</u> participate						Extent to which <u>women</u> participate					
					Level of participation						Level of participation					
	Yes	No	Yes	No	Very Low 1	2	3	4	5	Very High 6	Very Low 1	2	3	4	5	Very High 6
i. Urban sanitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ii. Rural sanitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
iii. Urban drinking-water supply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
iv. Rural drinking-water supply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
v. Hygiene promotion	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
vi. Water, sanitation and hygiene in health care facilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
vii. Water resources planning and management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

viii. If procedures are defined in policy or law, please provide details of laws and/or policy.

ix. If procedures are defined in policy or law, please provide a description of the most common forms of user participation.

<sup>3</sup> 'Participation' means a mechanism by which individuals and communities can meaningfully contribute to decisions and directions about WASH and water resources.

<sup>4</sup> These could be general policies or laws relating to public participation or consumer rights, or relating specifically to the WASH sector.

**A14.** (Continued from previous page)

- b. Participation procedures at local administrative unit level:** For urban sanitation/drinking-water, rural sanitation/drinking-water, and water resources, please provide the total number of local administrative units (LAUs) and the number of LAUs with policies and procedures for participation of local communities.

*NOTE: The local administrative unit is to be determined by the government. Local administrative units are institutional units whose fiscal, legislative and executive authority extends over the smallest geographical areas distinguished for administrative and political purposes<sup>5</sup> (OECD).*

	Urban sanitation and drinking-water	Rural sanitation and drinking-water	Water resources planning and management						
<b>i. Total</b> number of LAUs <sup>6</sup> in country for each sector:									
<b>ii.</b> Of the total listed in i., how many LAUs have policies and procedures for participation of local communities:									
<b>For each sector, what percentage of local administrative units (LAUs) have the following elements for participation in place?</b>	Urban sanitation and drinking-water			Rural sanitation and drinking-water			Water resources planning and management		
	Less than 50%	Between 50 to 75%	More than 75%	Less than 50%	Between 50 to 75%	More than 75%	Less than 50%	Between 50 to 75%	More than 75%
<b>iii.</b> Information is publicly available and easily accessible (e.g. access to information on WASH services or planned projects that may affect local water resources)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>iv.</b> There are permanent and regular (at least twice a year) forums/councils/meetings for citizen engagement with service providers, local government, or entities responsible for water resources management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>v.</b> Formal feedback system in place with mechanisms to address complaints.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>vi.</b> Regulatory authorities have mechanisms to receive and resolve conflicts	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>vii.</b> Of the local administrative units in <b>ii.</b> with policies and procedures, how many local administrative units have at least three of these elements for local community participation?  <i>NOTE: Public participation in a community is considered operational when at least three of the elements above (iii.-vi.) exist and are practiced in a community.</i>									

<sup>5</sup> OECD Glossary: <https://stats.oecd.org/glossary/detail.asp?ID=1550>

<sup>6</sup> Local administrative units (LAUs) for urban and rural sanitation and drinking-water should be non-overlapping and cover the entire country. LAUs for water resources planning and management may differ from those designated to sanitation and drinking-water.

**A14.** (Continued from previous page)

viii. Please provide additional information on the source of the data provided on LAUs:

ix. If an effective complaint mechanism exists, please provide an example (e.g. existence of complaint hotline, target response times, customer satisfaction with responses, etc.).

x. Please provide an example of a situation(s) where complaint mechanisms need to be improved.

**c. Government resources for public participation**

	Urban sanitation and drinking-water			Rural sanitation and drinking-water			Water resources planning and management		
	Less than 50% of what is needed	Between 50 to 75% of what is needed	More than 75% of what is needed	Less than 50% of what is needed	Between 50 to 75% of what is needed	More than 75% of what is needed	Less than 50% of what is needed	Between 50 to 75% of what is needed	More than 75% of what is needed
i. Are there sufficient <u>financial resources</u> in place to support participation of users and communities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ii. Are there sufficient <u>human resources</u> to support participation of users and communities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
iii. Are there agencies/institutions responsible for monitoring the extent of and effectiveness of participatory procedures?	Yes <input type="checkbox"/> No <input type="checkbox"/>			Yes <input type="checkbox"/> No <input type="checkbox"/>			Yes <input type="checkbox"/> No <input type="checkbox"/>		

## Section B: Monitoring

Responses to this section of the survey will help to determine the level of monitoring activity performed by the government, as well as other stakeholders and how this information is used in the planning, development and evaluation of water and sanitation services. ***Please consult the survey guidance for important information on the questions in Section B.***

### NATIONAL ASSESSMENTS AND JOINT SECTOR REVIEWS

- B1. Latest national assessment:** *When were the last national assessments conducted (month/year) on sanitation, drinking-water supply, hygiene, the health sector (for WASH in health care facilities) and the education sector (for WASH in schools)?* **NOTE:** National assessments may refer to government-led periodic reviews e.g. joint sector reviews, as well as partner-led or -initiated assessments such as WASH-BATs, GLAAS, CSOs, JMP coverage estimates, WHO/UNICEF Protocol on Water and Health, etc.

	No national assessment	Month/year of last national assessment	Name of latest national assessment	How many national assessments have taken place between 2012 and 2018?
a. Sanitation	<input type="checkbox"/>			
b. Drinking-water	<input type="checkbox"/>			
c. Hygiene promotion/practice	<input type="checkbox"/>			
d. WASH in health care facilities (incl. health care waste management.)	<input type="checkbox"/>			
e. WASH in schools	<input type="checkbox"/>			

- f. Does the government conduct joint sector reviews?

Yes ☐ No ☐

**NOTE:** A joint sector review is a **government-led periodic process that brings different stakeholders in a particular sector together to engage in dialogue, review status, progress and performance, and take decisions on priority actions.** Alternative names for joint sector reviews include: Annual Water Sector Conference, Joint Water Sector Review, Multi-Stakeholder Forum, Joint Annual Review, WASH Conference, Revue Annuelle Conjointe and Revue Annuelle Sectorielle Conjointe.

Partner-led or partner-initiated assessments such as WASH-BATs, GLAAS, CSOs, and JMP coverage estimates do **not** constitute a joint sector review process.

- i. If yes, what was the joint sector review called?

- ii. In which year did the most recent review take place?

- iii. What themes or sectors were covered?

Don't know	<input type="checkbox"/>	Yes	No
Sanitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Drinking-water	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Hygiene	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
WASH in health care facilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
WASH in schools	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Please specify:			

- iv. How many government agencies participated in the review process?

Don't know ☐ 1 ☐ 2 to 3 ☐ 4 to 6 ☐ 7 to 9 ☐ 10 or more ☐

- v. How many development partners (donor agencies and NGOs) participated in the review process?

Don't know ☐ None ☐ 1 to 3 ☐ 4 to 6 ☐ 7 to 9 ☐ 10 or more ☐

- vi. Did the review include a discussion of course-corrections/adjustments needed for WASH human resources?

Yes ☐ No ☐

- vii. Did the review include a discussion of course-corrections/adjustments needed for WASH finance?

Yes ☐ No ☐

- viii. Were priority actions (commitments, declaration points, priority measures, key actions, recommendations, recommended priority actions, undertakings, urgent recommendations) set by the review process?

Yes ☐ No ☐

- ix. Please provide names and links of any consolidated reports prepared for the joint sector review (e.g. sector performance report, national program report, NGO report) as well as any reports, minutes, or aide-mémoires of the review meeting.



**B1.** (Continued from previous page)

- x. Are the reports/minutes/aide-mémoires listed above publicly available?

Yes ☐No ☐

- xi. Provide the month and year when the next joint sector review is expected to take place:

If the date is not finalized, please include a description of current plans. Please provide a link to any details online. This information will be shared online to facilitate sector coordination in support of planned reviews.

- g. **Impacts of sector review:** Please give an example of a time when a national assessment or joint sector review resulted in a substantial change to policy, planning or targets.

**USE OF MONITORING DATA**

- B2. Data availability for decision-making:** *For the following decision-making areas, to what extent are data available, collected, and used. Please see the survey guidance for more information.*

	Only limited data collected and limited availability	Partial data available, but not generally used	Data available, analysed and used for a minority of decisions	Data available, analysed, and used for a majority of decisions
<b>Decision-making in the health sector</b>	<i>(Please check one response in each row)</i>			
a. Identifying public health priorities for reducing WASH related diseases	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Responding to WASH-related disease outbreak	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Identifying priority health care facilities needing WASH improvements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Decision-making for sanitation</b>				
d. Sector review and/or planning processes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Resource allocation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. National standards or regulation development	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. Targeting surveillance activities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Decision-making for drinking-water</b>				
h. Sector review and/or planning processes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i. Resource allocation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j. National standards or regulation development	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
k. Targeting surveillance activities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
l. Are there sufficient human resources for data reporting and analysis to support evidence-based decision-making?	Less than 50% of what is needed		<input type="checkbox"/>	
	Between 50 to 75% of what is needed		<input type="checkbox"/>	
	More than 75% of what is needed		<input type="checkbox"/>	

**MANAGEMENT INFORMATION SYSTEMS**

**B3. Management information system: Is there a functioning management information system (MIS) for key WASH data?** *NOTE: If your country has more than one MIS, please respond for the MIS that is most used for reporting and decision-making in the WASH sector.*

Yes	No
<input type="checkbox"/>	<input type="checkbox"/>

a. If yes, please describe the MIS including the type of data reported and who reports to the MIS:

b. How frequently are reporting units asked to report data to the MIS (e.g. monthly, quarterly, yearly, etc.)?

c. Based on the frequency of reporting, what percentage of reporting units report to the MIS on time?

Less than 50% of the units report on time	Between 50 to 75% report on time	Over 75% report on time
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

d. Is there a mechanism to validate the accuracy of the data reported?

Yes	No
<input type="checkbox"/>	<input type="checkbox"/>

i. If yes, please describe the mechanism(s):

e. Are the data publicly available?

No	Yes, some of the data	Yes, all of the data
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

i. If any data is publicly available online, please provide a link to the MIS data:

ii. If data is not publicly available, please describe who has access to the MIS data:

f. Are the data endorsed by a multi-stakeholder forum?

Yes	No
<input type="checkbox"/>	<input type="checkbox"/>

**MONITORING NATIONAL TARGETS**

- B4. a. Process:** Is there a government-led process of monitoring and validating progress towards national targets, e.g. through a joint sector review?

Yes	<input type="checkbox"/>
No	<input type="checkbox"/>

i. If yes, please provide a description of the process, including key stakeholders involved.

ii. How frequently is progress towards national targets assessed?

Annually	<input type="checkbox"/>
Every 2 to 3 years	<input type="checkbox"/>
Every 4+ years	<input type="checkbox"/>
On an ad hoc basis	<input type="checkbox"/>

- b. Monitoring coverage targets: Are data available to monitor the coverage targets as defined in question A7?**

Please check 'Not applicable' if the listed component is not included in your definition of coverage, 'yes' if it is included and is being monitored, and 'no' if it is included but cannot be monitored (i.e. where 'no' was given as a response to questions A7I.b./e. and A7II.b./e.).

Sanitation	Not applicable	Urban		Rural		If yes to either, which actor(s) are responsible for monitoring?
		Yes	No	Yes	No	
i. Excreta is transported through a sewerage network with wastewater and treated off-site	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
ii. Excreta is temporarily stored, emptied, and treated off-site	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
iii. Excreta is treated and disposed of on-site	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
iv. Improved sanitation facilities including flush/pour flush to piped sewer system, septic tanks or pit latrines, ventilated improved pit latrines, composting toilets or pit latrines with slabs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
v. Shared sanitation facilities between two or more households	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

**Drinking-water**

vi. Minimum volume of water per day	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
vii. Minimum service hours per day	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
viii. Maximum round-trip collection time, including queuing	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
ix. Maximum roundtrip distance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
x. Drinking-water is accessible on premises	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
xi. Drinking-water is free from contamination	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

- c. Human Resources: Are there sufficient human resources for monitoring progress towards national targets?**

Less than 50% of what is needed	<input type="checkbox"/>
Between 50 to 75% of what is needed	<input type="checkbox"/>
More than 75% of what is needed	<input type="checkbox"/>

**MONITORING OF VULNERABLE GROUPS**

**B5. Tracking progress among vulnerable groups: Is progress in extending and sustaining service provision specifically to the following populations tracked and reported?**

	Not Applicable	Sanitation		Drinking-water		Hygiene promotion	
		Yes	No	Yes	No	Yes	No
a. Poor populations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Populations living in slums or informal settlements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Populations living in remote or hard to reach areas	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Indigenous populations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Internally displaced persons and/or refugees	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Women	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. Ethnic minorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. People living with disabilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i. Populations with high burden of disease such as diarrhoea, undernutrition, neglected tropical diseases, and cholera	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j. Other vulnerable groups ( <i>please specify</i> ):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<div></div>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

k. If yes, please specify how groups are being tracked and reported and attach reports or provide links.

**PERFORMANCE INDICATORS**

**B6. Use of selected performance indicators to track progress: Are there clearly defined national standards or agreed upon performance indicators that are used in the following categories?**

a) Sanitation	Performance indicators are:				Please list the main indicator(s):
	No such indicators	Being developed or agreed but not yet implemented	Agreed and baseline data established	Agreed, tracked against established baseline data	
i. Expenditure (e.g. ratio spent/allocated, proportion of budget released mid-term)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
ii. Treated effluent quality (e.g. wastewater effluent quality suited to disposal or reuse onsite, faecal sludge treatment)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
iii. Quality of service delivery (e.g. frequency of emptying septic tanks, response time to complaints)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
iv. Equitable service coverage (e.g. per cent (%) of populations in different locations, and different economic groups, with access)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
v. Cost effectiveness (e.g. cost for levels of service such as latrines, networked sewage system, operations and maintenance (O&M) spent)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
vi. Functionality of systems (e.g. working/non-working infrastructure, asset management)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>b) Drinking-water</b>					
i. Expenditure (e.g. ratio spent/allocated, proportion of budget released mid-term)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
ii. Water quality (e.g. per cent (%) compliance for E. coli or chlorine residual)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
iii. Quality of service delivery (e.g. hours of service, minimum pressures in piped water systems, seasonable variability in delivery)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
iv. Equitable service coverage (e.g. per cent (%) of populations in different locations, and different economic groups, with access)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
v. Cost effectiveness (e.g. cost for levels of service such as boreholes, networked piped system, O&M spent)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
vi. Functionality of systems (e.g. working/non-working infrastructure, asset management)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

**B6.** (Continued from previous page)**c. Monitored indicators:** *If the following indicators are measured, please indicate approximate value(s):*

- i. Please estimate the national percentage of urban wastewater that is treated (either through a centralized or decentralized system):
- ii. Please estimate the national percentage of rural wastewater that is treated (either through decentralized or on-site treatment):
- iii. Please provide the average nonrevenue water for the three largest water suppliers: ('Nonrevenue water' is not the same as 'unaccounted for water'. Please review definitions in the glossary before responding.)
- iv. Please highlight additional specific examples of indicators or performance indicator system(s) that are used:

**REGULATION OF DRINKING-WATER, SANITATION/WASTEWATER SERVICES****B7. Type of regulatory authorities:** *Please answer the following questions on regulatory authorities for drinking-water and sanitation/wastewater.*

- a. Is a regulatory authority responsible for setting tariffs?
- b. Is a regulatory authority responsible for overseeing drinking-water quality and/or treated effluent quality?
- c. Is a regulatory authority responsible for overseeing service coverage or other aspects related to the quality of service delivery?

	Drinking-water				Sanitation/wastewater			
	Urban		Rural		Urban		Rural	
	Yes	No	Yes	No	Yes	No	Yes	No
a.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*If there is no regulatory authority responsible for any aspect of rural or urban WASH, SKIP to question B10.*

*For the following questions please answer regarding the regulatory authority with primary responsibility for each subsector.*

- d. Was the regulatory authority established by law?
- e. Is the regulatory authority located in a different institution (e.g. ministry) from the service providers that are being regulated?
- f. Does the regulatory authority have the authority to report findings without gaining clearance or permission from government institutions responsible for service provision?

d.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- g. Please provide the name of the regulatory authority(ies), and date(s) of establishment for each, and links to websites if available:

**FUNCTIONS OF REGULATORS****B8. Functions of drinking-water regulatory authorities: Does the drinking-water regulatory authority:**

- a. Collect population services coverage data from service providers?
- b. Collect water quality data from service providers?
- i. If yes, do records indicate that service providers carry out testing in accordance with national drinking-water quality standards?  
(SKIP this question if drinking-water quality standards do not include requirements for water quality testing (parameters and frequencies) by service providers)
- c. Publish publicly accessible reports on drinking-water quality (e.g. compliance with national standards for microbial and chemical water quality)?
- d. Publish publicly accessible reports on quality of water service delivery (e.g. functionality, continuity, efficiency)?
- e. Take corrective action against non-performers?

	Urban			Rural		
	No	Partially	Fully	No	Partially	Fully
a.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- i. If yes, please describe the corrective actions taken:

- f. Please provide a link or attach the most recent annual report by the regulatory authority on sector data/performance.

**B9. Functions of sanitation/wastewater regulatory authorities: Does the sanitation/wastewater regulator:**

- a. Collect population service coverage data from wastewater treatment plant operators?
- b. Set standards for, and monitor the design, construction and use of wastewater treatment plants and sewers?
- c. Set standards for, and monitor the design, construction and use of on-site sanitation systems (e.g. septic tanks and pit-latrines)?
- d. Set standards for, and monitor faecal sludge management (e.g. septic tank and pit latrine emptying)?
- e. Publish publicly accessible reports on treated wastewater flows and faecal sludge volumes?
- f. Collect and publish publicly accessible reports on septic tank and pit latrine emptying service quality (e.g. frequency, transport and disposal safety)?
- g. Take corrective action against non-performers?

	Urban			Rural		
	No	Partially	Fully	No	Partially	Fully
a.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- i. If yes, please describe the corrective actions taken:

- h. Please provide a link or attach the most recent annual report by the regulatory authority on sector data/performance.

**DRINKING-WATER QUALITY SURVEILLANCE****B10. Independent drinking-water quality surveillance: Is independent surveillance carried out and does it inform remedial action?**

	Independent testing of water quality in accordance with national surveillance requirements			Auditing/independent assessment of recommended risk management approaches (e.g. water safety plans)			Independent sanitary inspection to assess risk management			Collection and review of supplier monitoring records		
	Not done or insufficiently performed	Performed, but data not used	Performed and informs remedial action	Not done or insufficiently performed	Performed, but data not used	Performed and informs remedial action	Not done or insufficiently performed	Performed, but data not used	Performed and informs remedial action	Not done or insufficiently performed	Performed, but data not used	Performed and informs remedial action
<b>a.</b> Urban drinking-water quality	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>b.</b> Rural drinking-water quality	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>c.</b> Drinking-water quality in health care facilities (e.g. by Ministry of Health)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>d.</b> Drinking-water quality in schools (e.g. by Ministry of Education)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>e.</b> How does the frequency of independent drinking-water surveillance in practice compare to requirements set out in the surveillance mandate?	No frequency requirement			Less than 50% of required frequency			Between 50 to 75% of required frequency			Between 75 to 100% of required frequency		
i. Urban	<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>		
ii. Rural	<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>		
<b>f.</b> Are there sufficient human resources for drinking-water surveillance activities?	Less than 50% of what is needed			Between 50 to 75% of what is needed			More than 75% of what is needed					
i. Urban	<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>					
ii. Rural	<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>					



**B10.** (Continued from previous page)

g. Please indicate reasons for limited implementation of drinking-water quality surveillance activities:

	Urban			Rural		
	Not an issue/ constraint	Minor issue/ constraint	Major issue/ constraint	Not an issue/ constraint	Minor issue/ constraint	Major issue/ constraint
<b>General</b>						
i. Surveillance mandate (e.g. roles and responsibilities) is poorly defined	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ii. Insufficient funds for surveillance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
iii. Too few staff to carry out surveillance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
iv. Inadequate staff training on surveillance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
v. Insufficient data management system to record, manage and share surveillance data	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Water quality testing</b>						
vi. Insufficient practical guidance materials/tools in local language on water quality sampling and testing	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
vii. Lack of laboratory facilities/testing equipment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
viii. Lack of consumables for testing	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ix. Inadequate transport available to undertake sampling	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Risk management oversight</b>						
x. Insufficient practical guidance materials/tools in local language on water safety plan (WSP) auditing	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
xi. Insufficient practical guidance materials/tools in local language on conducting sanitary inspections	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Supplier monitoring oversight</b>						
xii. Inadequate system of collection and review of supplier monitoring records	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
xiii. Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

xiv. Please provide any additional comments on reasons for limited implementation of drinking-water quality surveillance:

**WASTEWATER EFFLUENT SURVEILLANCE****B11. Independent wastewater effluent surveillance: Is independent surveillance carried out and does it inform remedial action?**

	Testing of effluent quality against national standards / testing quality of treated sludge (for row a.)			Independent assessment of recommended risk management approaches (e.g. sanitation safety plans)			Independent sanitary inspection at premises (e.g. sewer connections, septic tanks, pit latrines) to assess risks			Collection and review of service provider (e.g. utilities, faecal sludge emptying services) monitoring records		
	Not done or insufficiently performed	Performed, but data not used	Performed and informs remedial action	Not done or insufficiently performed	Performed, but data not used	Performed and informs remedial action	Not done or insufficiently performed	Performed, but data not used	Performed and informs remedial action	Not done or insufficiently performed	Performed, but data not used	Performed and informs remedial action
<b>a.</b> Onsite facilities (e.g. septic tanks and pit latrines) and faecal sludge collection, transport, and treatment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>b.</b> Sewered municipal wastewater effluent quality	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>c.</b> How does the frequency of independent wastewater surveillance in practice compare to requirements set out in the surveillance mandate?	No frequency requirement			Less than 25% of required frequency			Between 50 to 75% of required frequency			Between 75 to 100% of required frequency		
i. Urban	<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>		
ii. Rural	<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>		
<b>d.</b> Are there sufficient human resources for wastewater surveillance activities?	Less than 50% of what is needed			Between 50 to 75% of what is needed			More than 75% of what is needed					
i. Urban	<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>					
ii. Rural	<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>					
<b>e.</b> Please describe any other reasons for any insufficiencies in wastewater surveillance (e.g. low frequency of surveillance, financial constraints, etc).												

## Section C: Human Resources (HR)

In addition to the HR questions included in Sections A, B and D, this section focuses on HR assessments, training institutions/programs and HR for operations and maintenance. **Please consult the survey guidance for important information on the questions in Section C.**

### HUMAN RESOURCES NEEDS ASSESSMENTS

- C1. a.** How are human resources capacity needs assessed in the WASH sector? Please include information on the stakeholders involved.

- i. If needs are assessed, is gender included in the assessment?

Yes	No
<input type="checkbox"/>	<input type="checkbox"/>

- ii. How often does an assessment take place?

Annually	Every 2 to 3 years	Every 4+ years	On an ad hoc basis
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- iii. If the assessment is documented, please provide a link to the most recent assessment report or attach a copy.

### WASH TRAINING INSTITUTIONS/PROGRAMS

- C2. a.** What types of WASH training institutions/programs are available in your country?

- b.** Are there sufficient WASH training institutions/programs available?

Not sufficient	Partially sufficient	Fully sufficient
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- i. If there are any insufficiencies in WASH training institutions/programs, please describe the insufficiencies and the reasons for these insufficiencies.

### HUMAN RESOURCES FOR WASH OPERATIONS AND DEVELOPMENT

- C3.**

- a.** Are there sufficient human resources for WASH operations and maintenance?

Less than 50% of what is needed	Between 50 to 75% of what is needed	More than 75% of what is needed
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- b.** Is there sufficient human resources capacity to manage the design and construction of WASH facilities and networks?

Less than 50% of what is needed	Between 50 to 75% of what is needed	More than 75% of what is needed
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- c.** If there are insufficient human resources for operations and maintenance and/or design and construction of WASH facilities and networks, please describe the reasons why.

## Section D: Financing

This section of the survey explores what processes (i.e. planning, budgeting, financial tracking and reporting) are in place to distribute financial resources to the WASH sector, how well allocated funds are absorbed, who finances WASH, the amount and sufficiency of funding, and the types of services funded. Previous GLAAS results have shown that there are substantial gaps in our understanding and tracking of financing to the sector. Improving this evidence base can contribute to better decision-making in funding allocations for priority needs, catalysing better management of available resources, and attracting additional investment to the sector. ***Please consult the survey guidance for important information on the questions in Section D.***

### FINANCING PLAN

**D1. Existence of a financing plan:** *Has the government developed a medium to long-term financing plan(s) linked to national strategies for WASH, WASH in health care facilities, and WASH in schools, that clearly assesses the available sources of finance and strategies for financing future needs (i.e. who should pay for what), that is agreed<sup>7</sup> (publicly available) and used for decision-making?*

		No financing plan	Financing plan in development	Financing plan agreed, but insufficiently implemented	Financing plan is agreed and used for some decisions	Financing plan is agreed and consistently used in decisions
a. Urban sanitation	a.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Rural sanitation	b.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Urban drinking-water supply	c.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Rural drinking-water supply	d.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Hygiene	e.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. WASH in health care facilities (including health care waste management)	f.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. WASH in schools	g.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**h.** Please name/describe the financial plan(s) and/or provide a web link(s).

**i.** If a financial plan(s) exists, is the plan(s) based on achieving either interim or long-term national target? If so, please indicate which targets and please give the timeframe.

<sup>7</sup> Agreed and publicly available

a. Please list ministries/national institutions with responsibilities in WASH and their WASH budgets. Please list each ministry/national institution even if data is not available. If additional lines are needed, please use the survey annex.

**b.** Please indicate the time period (e.g. financial year) for the listed budget:

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[illegible]

**FINANCIAL REPORTING AND COST RECOVERY****D3. Financial reporting: Are expenditure reports publicly available and easily accessible, and do they allow actual spending on WASH to be compared with committed funding?**

			Expenditure reports are not available	Expenditure reports available and include actual vs. committed for the following <i>(please check all that apply)</i>		
				External funding <sup>8</sup>		Government expenditure
				Bilateral/multilateral donors	NGOs and foundations	
a.	Urban sanitation	a.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b.	Rural sanitation	b.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c.	Urban drinking-water supply	c.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d.	Rural drinking-water supply	d.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e.	Hygiene	e.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

f. If disaggregated reports on actual spending are not available, please describe other available sources of information.

g. Please provide a link or attach a copy of an expenditure report used to compile information for this section of the survey.

**D4. Cost recovery strategies: Are operations and basic maintenance (O&M) covered by tariffs or household contributions? Please see survey guidance for definitions for 'tariffs' and 'household contributions'.**

		O&M to be covered via tariffs or household contributions			Is this addressed in your financial plan/budget?	
		Covers less than 50% of costs	Covers between 50% and 80% of costs	Covers over 80% of costs	Yes	No
a.	Urban sanitation	a.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b.	Rural sanitation	b.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c.	Urban drinking-water supply	c.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d.	Rural drinking-water supply	d.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

e. If costs are not fully recovered via tariffs or household contributions, is the gap covered and how? Please attach relevant provisions, provide a link or give details (including target recovery %). If the gap is not covered, please provide specific examples of the impacts on services (e.g. delayed maintenance, staffing cuts, etc.).

f. Please describe the legal or regulatory framework concerning tariff review/revision and how frequently reviews/revisions occur at the national level. If national-level data is not available, please provide the average frequency of reviews for the three largest municipal utilities.

<sup>8</sup> Grant and loan expenditure reports may originate from donors and NGOs and content is not necessarily determined by the government.

**FINANCING FOR VULNERABLE GROUPS**

**D5. Equity:** *Are there specific measures in the financing plan to target resources to reduce inequities in access and levels of service and are they being applied for the following vulnerable groups?*

			Specific measures for sanitation			Specific measures for drinking-water supply		
			No	Yes, but measures are not applied consistently	Yes and measures are applied	No	Yes, but measures are not applied consistently	Yes and measures are applied
		Not applicable						
a.	Poor populations	a.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b.	Populations living in slums or informal settlements	b.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c.	Populations living in remote or hard to reach areas	c.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d.	Indigenous populations	d.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e.	Internally displaced persons and/or refugees	e.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f.	Women	f.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g.	Ethnic minorities	g.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h.	People living with disabilities	h.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i.	Populations with high burden of disease such as diarrhoea, undernutrition, neglected tropical diseases, and cholera	i.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j.	Other vulnerable groups (please specify):	j.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

k. If other disparities are targeted, please describe.

l. Please provide a reference and/or link to the relevant section of the finance plan that describe the measures above.

**D6. Affordability: Are there financial schemes to make access to WASH more affordable for vulnerable groups?**

		No schemes exist	Affordability schemes exist, but are not widely used	Affordability schemes exist and are widely used
a.	Urban sanitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b.	Rural sanitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c.	Urban drinking-water supply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d.	Rural drinking-water supply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- e. Is affordability of WASH services defined in policies or plans (e.g. no more than 2% of median household income)? If yes, please indicate how it is defined and whether affordability levels are monitored on a periodic basis.

- f. Please provide examples of affordability schemes in use and the scope of coverage, including how specific groups are targeted for these schemes.

**UTILIZATION OF AVAILABLE FUNDS (ABSORPTION)****D7. Absorption of external funds: What is the estimated percentage utilized of donor capital commitments for WASH (three-year average)?**

		Less than 50%	Between 50 to 75%	Over 75%	If available, please provide specific %:
a.	Urban sanitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
b.	Rural sanitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
c.	Urban drinking-water supply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
d.	Rural drinking-water supply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

**D8. Domestic absorption: What is the estimated percentage utilized of domestic capital commitments (three-year average)?**

		Less than 50%	Between 50 to 75%	Over 75%	If available, please provide specific %:
a.	Urban sanitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
b.	Rural sanitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
c.	Urban drinking-water supply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
d.	Rural drinking-water supply	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	



**EXTERNAL FINANCING****D9. External funding:** *How are donor funds channelled to the sector? See the survey guidance for additional information.*

	Drinking-water supply, sanitation, and hygiene only	Total water sector (if available)
a. Number of donors providing funds to the water sector		
b. Time period (e.g. financial year) for donor expenditures shown in (d) below.		
c. Currency/units for donor expenditure shown in (d) below:		
d. Total donor expenditure/disbursement		
ON-BUDGET		
i. Funding provided for specific expenditures or lines in national budget, and are channeled through treasury (includes basket funding)		
ii. Funding provided for specific expenditures or lines in national budget, but not channeled through treasury		
OFF-BUDGET		
iii. Direct funding to sector not through national budget or treasury		
GENERAL GOVERNMENT BUDGET SUPPORT		
iv. General budget support, funds channeled through treasury		
e. Per cent (%) of donor funds aligned with national WASH plan		
f. If unable to provide disaggregation of donor funds according to categories in question (d) above, please describe and/or provide the available data below.		

**SUFFICIENCY OF FINANCING**

**D10. Sufficient finance to meet targets:** *Going forward, do you estimate that financing from all sources allocated to water/sanitation/hygiene is sufficient to reach national targets?*

		If a specific annual financial need has been identified and financing estimates are available		If specific monetary estimates of finance needs and funding are not available		
		Estimated <u>annual</u> need, monetary amount) (indicate currency)	Identified <u>annual</u> finance available from all sources, monetary amount (indicate currency)	Less than 50% of needs	Between 50 to 75% of what is needed	More than 75% of what is needed
a. Urban sanitation	a.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Rural sanitation	b.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Urban drinking-water supply	c.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Rural drinking-water supply	d.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Hygiene	e.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. WASH in health care facilities	f.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. WASH in schools	g.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**h.** Please indicate the source or methods used to determine costed monetary needs indicated above, if applicable.

**i.** Please indicate specific areas of funding gaps. (e.g. human resources, expansion of services, operations and maintenance, etc.)

**FINANCIAL FLOWS**

**D11. Financial flows for sanitation, drinking-water, and hygiene promotion:** This table aims to capture all expenditures in the WASH sector, including sources, and where the money is spent. The sources of financial flows are based on three broad categories: tariffs, taxes and transfers (3Ts), which we have classified under household, government and external sources of financing (i.e. financing type). The table should capture the sources of data, but also highlight any reporting gaps (i.e. data that was not readily available). For example, you may be aware of expenditures for which data are not available. Information on these data gaps is useful. Please indicate in the relevant box where data sources may be missing, and provide a brief explanation of the nature and scope of this gap. *Please see the survey guidance for important information on this question and complete as many boxes as possible.*

Country:	
Currency / units (e.g. USD millions):	
Financial Year (e.g. 2016, 2017 or 2018):	
Contact Person:	
Contact Email:	

Expenditure or Inflow														
Financing unit/type	Total	Drinking-water			Sanitation			Hygiene			General, unallocated			Sources, and data gaps
		Total	Urban	Rural	Total	Urban	Rural	Total	Urban	Rural	Total	Urban	Rural	(additional space provided next page)
<b>a. Users (households / commercial / industrial)</b>														
- Tariffs/charges (households/commercial /industrial)														
- Households’ out-of-pocket expenditure for self-supply														
<b>b. Government or public authority</b>														
- Central level														
- State / provincial level														
- Local level														
<b>c. External sources</b>														
- International public transfers (grants only)														
- Voluntary transfers (NGOs and foundations)														
<b>d. Repayable financing (inflows)</b>														
- International public transfers (loans only)														
- Other debt (commercial loans, bond issues)														
<b>e. TOTAL EXPENDITURE</b>														

**D11.** (Continued from previous page)

f. Please provide details on sources of financial information used to complete Table D11a and any data gaps:

It is acknowledged that the data requested in Table D11 may not be readily available for some countries. Please complete as best as possible based on the available data. To improve information on financial flows in WASH, GLAAS has developed the TrackFin initiative which is an in-depth study on WASH finance. This initiative is a global standard methodology for tracking financing in the water, sanitation and hygiene sector at the national level. Should your government be interested in participating in TrackFin, please contact: [glaas@who.int](mailto:glaas@who.int)

For more information on the TrackFin initiative and the methodology, please refer to:  
[http://www.who.int/water\\_sanitation\\_health/monitoring/investments/trackfin-methodology/en/](http://www.who.int/water_sanitation_health/monitoring/investments/trackfin-methodology/en/)

**THANK YOU FOR YOUR PARTICIPATION IN THE GLAAS SURVEY**

We truly appreciate the time and effort involved in completing this form. Please return this form to:

UN-Water GLAAS Team  
Water, Sanitation, Hygiene and Health Unit  
World Health Organization  
20, Avenue Appia  
CH-1211 Geneva 27, SWITZERLAND  
**E-mail: [glaas@who.int](mailto:glaas@who.int)**