

UN-WATER GLOBAL ANALYSIS AND ASSESSMENT OF SANITATION AND DRINKING-WATER (GLAAS) 2018

External Support Agency Survey (ESA)

20 November 2018

I. What is GLAAS?

The Global Analysis and Assessment of Sanitation and Drinking-Water (GLAAS) is a UN-Water initiative that began in 2008 and is implemented by the World Health Organization (WHO). GLAAS responds to a continuing need to inform policy-making and strengthen monitoring of national sanitation and drinking-water programs and activities. Specifically, GLAAS monitors the nature of government policies and institutions and their impact, investments in terms of financial and human resources, the volume and targeting of development cooperation and the relative influence of all these factors on performance.

A biennial GLAAS report assesses data from several different sources, including global data on sanitation and drinking-water coverage¹, donor aid flows², economic and development indicators³, health indicator data⁴, and data from regional sector and multi-sector assessments. GLAAS gathers data at both recipient country and external support agency level to fill key knowledge gaps (see www.who.int/water_sanitation_health/glaas/en).

II. What WASH sector initiatives does GLAAS support?

In addition to providing sanitation and drinking-water policy-makers and practitioners with a more comprehensive evidence base, the GLAAS process also informs political decision-making, particularly through its association with Sanitation and Water for All (SWA). External support agencies and country governments participating in the GLAAS survey will be encouraged to participate in the high-level dialogue which will feed into SWA's Sector Ministers' Meeting (SMM) in April 2019. The SMM as well as the subsequent Finance Ministers' Meeting (FMM), expected in April 2020, provide a platform for discussions and agreement on actions to increase access to sanitation and drinking-water.

GLAAS is also a data source for the SWA Collaborative Behaviours, a set of four key activities, that if jointly adopted by governments and development partners, are expected to improve long-term sector performance and sustainability. Indicators for each of the behaviours have been developed, as well as country profiles that show how governments and development partners are applying the behaviours.

GLAAS is also integrally involved in collaborative monitoring efforts under Sustainable Development Goal (SDG) 6 and related targets and indicators. GLAAS is working closely with OECD and UN partners to provide data and harmonize methods for monitoring of means of implementation targets 6a – development assistance, and 6b – local participation.

III. How is this survey conducted?

Each GLAAS cycle, bi-lateral and multi-lateral agencies, UN organizations, private foundations, and other NGOs that provide development aid, technical assistance, research, or other support to sanitation and drinking-water, a.k.a. external support agencies (ESAs), are invited to participate in the UN-Water GLAAS ESA survey. These data augment information provided by countries and present a full picture of actions and activities related to service provision of drinking-water, sanitation, and hygiene promotion.

IV. How will data from this survey be presented?

A detailed summary of external support to the sanitation and drinking-water sector is presented in the biennial GLAAS report. These sections discuss status and trends in: the targeting of aid sectors, aid to water and sanitation, prioritization of recipient countries, regional targeting, aid allocation breakdowns, types of aid, funding channels, future targets, and alignment of aid with country planning processes.

Based on the responses to the ESA surveys received, the GLAAS team will complete a summary highlight for each ESA which will be provided to each respondent for their review and approval and for use in the discussions leading up to and during the 2019 SMM organized by SWA. If your organization participated in the last GLAAS survey cycle, your organization's previous highlight is attached, otherwise, an example ESA highlight is provided. Previously published ESA highlights can be found at: http://www.who.int/water_sanitation_health/monitoring/investments/glaas-2017-support-agency-highlights/en/.

Also, a compendium of ESA survey responses will be prepared that is separate from the GLAAS report and ESA highlights. This compendium will present all the information provided as part of the ESA survey and will be available online.

¹ WHO/UNICEF Joint Monitoring Programme on Water Supply and Sanitation

² Organization for Economic Co-operation and Development (OECD) Creditor Reporting System

³ World Development Indicators, World Bank

⁴ World Health Statistics, WHO

As mentioned above, GLAAS is a key data source for the SWA Collaborative Behaviours. Information provided in the survey will enable partners to monitor performance and ensure progress towards more effective, sustainable and equitable outcomes. Several of these indicators are based on responses to this survey and may be reported in country profile summaries.

Responses to the survey may also support monitoring of SDG 6, and related targets and indicators, specifically means of implementation target 6a (amount of ODA aligned with government budgets and plans).

V. What information is collected?

As in past years, GLAAS has developed a survey that aims to capture data on aid flows and information on ESA priorities, future planning and alignment, and how aid is being delivered. The survey was significantly revised in 2016 and following a consultation in September 2018, some revisions have been made to even better align better with the SDGs, the SWA Collaborative Behaviours, and the different types of external support agencies including:

- Bi-lateral donors (e.g. governments of United Kingdom, Japan, etc.)
- Multi-lateral development banks (e.g. World Bank, African Development Bank, etc.)
- UN agencies (e.g. UNDP, UNICEF, etc.)
- International NGOs and private foundations (e.g. WaterAid, Bill and Melinda Gates Foundation, etc.)

Working closely with OECD and data collected through the Creditor Reporting System, the aim is to make the best use of existing data sets. For those donors that already report to the OECD-CRS, there is the option to skip three questions (Question 8.1, 8.2, and 8.3) that duplicate information requested by OECD. In addition to reported aid flows, information on ESA priorities, future planning and alignment, are requested in this survey.

For ESAs that focus primarily on technical assistance, it is requested that disbursement volumes in Question 8 be represented as either monetized benefits of assistance provided, staff budgets, or staff full-time equivalents (FTEs).

If your organization participated in the survey in 2016/2017, previous responses to some of the questions are shown in gray shaded areas.

VI. Who should complete the survey?

WASH sector team leaders/staff of your organization at headquarters level will be able to answer most of the questions in this survey, although, assistance of financial or human resource officers may be needed to complete certain sections of the survey regarding financial flows or level of effort in the WASH sector.

For bi-lateral donors with multiple agencies contributing to WASH sector activities, either one combined survey response from all (or a majority of) contributing agencies or separate survey responses are acceptable, whichever is most appropriate. For instance, if one large institution provides a majority of aid from your country, then one combined survey response may be more applicable than several separate responses, whereas if two agencies each provide a significant percentage of aid using different modalities, then separate responses may be more appropriate.

For one portion of the survey (Question 11.2 on the SWA Collaborative Behaviours), it may be necessary to query your organization's country programmes/offices for responses (if applicable). These questions relate to your organization's activities within countries and information on a few specific recipient countries is requested. If your organization is active in one or more of the countries listed, please complete the questions concerning the Collaborative Behaviours in the appropriate column(s) to inform how your organization works at country level.

VII. What is the deadline for response?

Responses to this survey are requested by 31 January 2019. Additional response time is available for those organizations desiring to participate but not able to meet the January deadline for response. Please contact Fiona Gore, (+41 22 791 1619, goref@who.int) for updated survey response deadlines or to request additional time to respond to the survey.

VIII. Where do I submit a response to the survey?

Completed survey forms may be returned via mail or email to:

Dr. Fiona Gore
goref@who.int

UN-Water, Global Analysis and Assessment of Sanitation and Drinking-Water (GLAAS)
Water, Sanitation, Hygiene and Health (WSH) unit
Department of Public Health, Environment and Social Determinants of Health (PHE)
World Health Organization (WHO/HSE/PHE)
Avenue Appia 20
1211 Geneva 27, Switzerland

and via email to: glaas@who.int

Please complete the questions below for your organization. Previous survey responses may be shown in shaded areas, if applicable.

GENERAL INFORMATION

1. Organization Name and Address	
Organization Name:	
Address:	
Website:	

2. Survey Completed By:			
Contact Name(s) and Title(s):			
Department(s)			
Email:		Telephone:	
Date Completed:			

3. Organization Description				
3.1 Please briefly describe your organization. Possible areas to reflect on include: organizational mission, internal institutional structure, type of support provided (i.e. grants, loans, technical assistance, exchange, capacity development, etc.), and the beneficiaries of this support (government, NGOs, private sector, etc.).				
<i>2016 response:</i>				
<p>[please insert description]</p>				
3.2 Please indicate the size of your organization (globally, including country-based staff) in terms of overall budget, financial assistance provided and/or the level of staffing. Please include currency/units for budget or financial assistance.				
	TOTAL		WASH-related	
	2016	2018	2016	2018
a. Organization budget (i.e. capital and operating expenses)				
b. Financial assistance to countries				
c. Staff resources (full-time equivalents)				

AID PRIORITIES AND WASH STRATEGIES

4. Aid Priorities							
4.1 What are the top five aid priorities for your organization (check five that are applicable)? (Please indicate top aid priorities in terms of an organizational mission or strategic agenda, i.e. not necessarily highest budgetary allocations)							
2016	2018		2016	2018		2016	2018
		Water and Sanitation			Democracy		
		Health			Infrastructure		
		Education			Agriculture / Food Security		
		Governance			Security		
		Economic Growth			Reproductive Health		
		Climate Change Adaptation			Environmental Protection		
		Civil Society Engagement			Social Enterprises		
		Rural Development			Children and Youth		
		Other: _____			Other: _____		
Disaster Response Human Rights Banking and Financial Services Energy Transportation Sustainable Development Migration Gender Other: _____							
Additional comments:							

5. Strategy or Policy for WASH		
5.1 Please provide a brief description of your organization's strategic goals/objectives/aims for supporting WASH.		
2016 response:		
[please insert description, or if reported previously, please describe any changes in strategies/objectives since 2016]		
5.2 Does your organization have an explicit multi-year WASH or water sector development strategy? ____YES ____ NO ____ DEVELOPING		
(if YES, please complete below):		
	2016 response	2018
a) Title and link to the strategy		
b) Date strategy developed or last revised (year)		
c) What timeframe does the strategy cover?		
d) Is there an annual or multi-year budget estimate to implement the strategy? If yes, please indicate amount.		
5.3 Please list your priority/focus countries for WASH development cooperation.		
[please list countries]		

6. Main Priorities of Focus to Achieve Objectives

6.1 What are the main areas of global priority/focus of your WASH strategy and/or activities in the WASH or water sector? Please indicate level of priority for each area. Use space in Question 6.2 and reference note column to provide references or examples of your organization's highest priority areas. Aggregated data from all ESA respondents will be assessed to provide a snapshot of global WASH priority areas.

	2016	2018 Level of priority or focus 1-very low, 2-low, 3-medium, 4-high, 5-very high					Notes/references
		1	2	3	4	5	
Objectives for WASH support							
a) Enhancing access and improving service levels		0	0	0	0	0	
b) Reducing inequalities in access and services to poorest/most vulnerable		0	0	0	0	0	
c) Supporting sustainability of WASH services		0	0	0	0	0	
Financial and technical support for WASH sub-sectors / delivery of services							
d) Urban drinking-water supply		0	0	0	0	0	
e) Rural drinking-water supply		0	0	0	0	0	
f) Urban sanitation		0	0	0	0	0	
g) Rural sanitation		0	0	0	0	0	
h) Ending open defecation		0	0	0	0	0	
i) Hygiene promotion		0	0	0	0	0	
Support for broad SDG 6 agenda across the water sector							
j) Protection of drinking-water supplies / water quality		0	0	0	0	0	
k) Safely managing wastewater and residuals		0	0	0	0	0	
l) Promote water use efficiency and sustainable withdrawals		0	0	0	0	0	
m) Integrated water resources management		0	0	0	0	0	
n) Protect and restore water-related ecosystems		0	0	0	0	0	
Integration of water, sanitation, and hygiene into other programs							
o) Health		0	0	0	0	0	
p) Education		0	0	0	0	0	
q) Food security / agriculture		0	0	0	0	0	
r) Climate change adaptation		0	0	0	0	0	
s) Nutrition		0	0	0	0	0	
Financial and technical support for WASH in institutional settings and emergencies							
t) WASH in health care facilities		0	0	0	0	0	
u) WASH in schools		0	0	0	0	0	
v) WASH in emergencies		0	0	0	0	0	
Specific support areas / capacity development							
w) Strengthening policies/institutions for sustainable WASH service delivery		0	0	0	0	0	
x) Improving transparency and accountability		0	0	0	0	0	
y) Supporting education and training		0	0	0	0	0	
z) Developing national monitoring systems and indicators		0	0	0	0	0	
aa) Building demand for sanitation		0	0	0	0	0	
ab) New financing services / mechanisms for the poor (for WASH services)		0	0	0	0	0	
ac) Building markets for WASH products and services		0	0	0	0	0	
Support for research and global / regional networks							
ad) Institutional support for global and regional networks		0	0	0	0	0	
ae) Supporting civil society and advocacy efforts		0	0	0	0	0	
af) Supporting WASH related research		0	0	0	0	0	
ag) Supporting technology development		0	0	0	0	0	

6.2 Please provide examples (or updates) of your organization's programmes/activities in its highest priority water/WASH areas (as noted in 6.1).

2016 response:

[please insert description]

6.3 Specific Types of WASH Support

a) Please describe how your organization has supported governments in developing policies, plans, and implementation strategies to align with the WASH targets of the SDGs?

[please insert description]

b) If applicable, has your organization been able to leverage its development aid to encourage increased financing to the WASH sector by national governments, other ESAs or the private sector?

Yes	No	NA
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If yes, please list in which countries, and one or two examples of successful leveraging.

TARGETS AND FUTURE FOCUS

7.1 Specific Targets

7.1 Does your organization have specific global or regional targets for increasing access to water and sanitation services, in terms of numbers of people served by safely managed services over a specified time period, number of people served by basic services, or other types of targets for the WASH sector, etc. _____ YES _____ NO

If yes, please provide a description of targets including quantitative levels and whether these targets are disaggregated among specific population groups (e.g. low-income, vulnerable populations, urban vs. rural, etc., if applicable), as well as service level objectives.

2016 response:

[please insert description]

7.2 Specific Targets – Financial or Technical Assistance

7.2 If targets are specified in monetary allocations or budgeted technical assistance, please specify plans for the following three years, i.e. if the amount or approximate percent of aid increase/decrease is known, please insert amount and currency or % increase/decrease in table or describe below.

	2018	2019	2020	TOTAL (2018-2020)
a. TOTAL WASH SUPPORT (projected)				
b. Is the projected WASH support indicated above allocable to specific WASH program areas (i.e. strengthening of sector systems¹, elimination of ODF, drinking-water or sanitation, basic or safely managed services², etc.). If so, please describe or indicate these program area budgets as a percentage of projected annual WASH support.				
[please insert description]				

1. Strengthening of sector systems for planning, financing, procurement, monitoring, post-construction support, education and training, technical assistance, etc.

2. "Basic drinking-water" in this context refers to drinking-water from an improved source, with collection time no more than 30 minutes per round trip. "Safely managed drinking-water" refers to drinking-water from an improved source that is located on premises, available when needed, and free from contamination. "Basic sanitation" in this context refers to improved sanitation facilities (networked or on-site) which are not shared with other households. "Safely managed sanitation" refers to all aspects of "basic sanitation" services and where excreta are safely disposed of in-situ or transported and treated off-site.

7.3 Future Focus

7.3 Please describe briefly any plans your organization has for changes in the type of WASH aid you will provide between 2018 and 2022 (e.g. increase or decrease in support, new targets, revised policies, changes to priority countries/regions, new approaches, etc.).

2016 response:

[please insert description]

VOLUME AND TARGETING OF AID

8.1 Purpose of Aid or Technical Assistance

Please only complete if your organization does not already report to OECD-Creditor Reporting System⁵.

Please indicate the total expenditure on and/or budget for WASH activities shown below. Expenditures can be in the form of actual finance, technical assistance (monetized staff time or recipient benefits provided), staff budget, or actual staff time (full-time equivalents (FTEs)). Please indicate the currency used or whether values are FTEs provided in row (a). If WASH service delivery (f) cannot be disaggregated among sub-areas, please provide total for WASH service delivery in row (f). *Note: OECD-CRS purpose codes are shown in parentheses for reference.*

a)	Currency (e.g. USD, Euro) or staff FTEs				
b)	Units (e.g. millions, thousands)				
		Disbursement / Expenditure (or staff budget/FTEs)		Budget	
WATER AND SANITATION		2016	2017	2018	
c)	TOTAL ASSISTANCE				
d)	Water resources policy and administration (14010)				
e)	Water resources protection (14015)				
f)	WASH infrastructure / delivery (14020 to 14032)				
g)	- Drinking-water (14021, 14031)				
h)	- Sanitation (14022, 14032)				
i)	- Hygiene promotion				
j)	River basin development (14040)				
k)	- Agriculture water resources (31140)				
l)	- Other				
m)	Waste management/disposal (14050)				
n)	Education and training in water/sanitation (14081)				
o)	Research/advocacy				

⁵ See attachment A for a list of organizations that provide data to the OECD Creditor Reporting System.

8.2 Geographic Distribution of Aid / Technical Assistance

Please only complete if your organization does not already report to OECD-Creditor Reporting System.

Please indicate the total expenditure on and/or budget for water and sanitation activities shown below. Expenditures can be in the form of actual finance, technical assistance (monetized staff time or recipient benefits provided), staff budget or actual staff time (full-time equivalents). Please indicate currency used or whether values are FTEs provided in row (a). Please use additional sheets as necessary.

[illegible]

8.3 Aid Funding Channels - Which funding channels were used for disbursement of aid for water, sanitation, and hygiene in 2017?

Please only complete if your organization does not already report to OECD-Creditor Reporting System.

a)	Currency (e.g. USD, Euro) or staff FTEs					
b)	Units (e.g. millions, thousands)					
		2017 Disbursement / Expenditure				
Main Funding Channel		Grants and in-Kind Services	Concessional Loans	Non-Concessional Loans		
c)	General budget support					
d)	Sector budget support					
e)	Programmes and projects via direct assistance					
f)	Programmes and projects via multi-laterals					
g)	Programmes and projects via NGOs					
h)	Technical assistance or staffing					
i)	Academic and training institutes					
j)	Implementation through private sector entities					
k)	Other (please specify):					

8.4 Targeting of Disbursements / Technical Assistance - If data are available, please estimate the breakdown of actual finance, technical assistance (monetized staff time or recipient benefits provided), staff budget or actual staff time (full-time equivalents), among urban/rural programmes (e-g), and service provision/system strengthening (i-l). Please include totals of all grants and loans (concessional and non-concessional), if applicable.

a)	Currency (e.g. USD, Euro) or staff FTEs				
b)	Units (e.g. millions, thousands)				
		Disbursement / Expenditure (or staff budget/FTEs)			
WATER AND SANITATION		2016	2017		
c)	Total assistance (grants and loans)				
d)	Water and sanitation				
e)	- Urban areas				
f)	- Rural areas				
g)	- Both urban and rural areas				
	Water and sanitation				
h)	- Increasing access / new services				
i)	- Improving existing services to higher service level				
j)	- Sustaining existing services				
k)	- Strengthening sector systems/capacity ¹				
	Hygiene and behavior change				
l)	- Hygiene promotion				
m)	- Eliminate open defecation / sanitation behavior change				
n)	- Other: _____				
	Drinking-water				
o)	Large systems [OECD code 14021]				
p)	- Large systems providing access on premises ²				
q)	Basic ³ systems [OECD code 14031]				
r)	- Basic systems in urban areas				
s)	- Basic systems in rural areas				
t)	- Basic systems with access on premises ²				
	Sanitation				
u)	Large systems [OECD code 14022]				
v)	- Large systems with faecal sludge management (FSM) component ⁴				
w)	Basic ⁵ systems [OECD code 14032]				
x)	- Basic systems in urban areas				
y)	- Basic systems in rural areas				
z)	- Basic systems with FSM component ⁴				
	WASH in emergencies / institutional settings				
aa)	- WASH emergencies				
ab)	- WASH in health care facilities				
ac)	- WASH in schools				

1. Strengthening of sector systems for planning, financing, procurement, monitoring, post-construction support, education and training, technical assistance, etc.

2. Only include disbursements to activities/projects where a large proportion of beneficiaries will receive first-time or enhanced access to drinking-water from an improved source that is located on premises.

3. Basic drinking-water in this context refers to water supply through low-cost technologies, such as hand pumps, spring catchment, gravity-fed systems, rainwater collection, storage tanks and small distribution systems.

4. Only include disbursements to activities/projects for sanitation systems where faecal sludge management (FSM) is a component of these activities/projects. Please note that actual disbursements can be related to any part of these projects, not just the FSM component.

5. Basic sanitation systems in this context refers to sanitation through low-cost technologies such as latrines, on-site disposal (e.g. septic tanks) and alternative sanitation systems, including the promotion of household and community investments in the construction of these facilities.

ALIGNMENT, HARMONIZATION, AND ACCOUNTABILITY

9. Coordination and Assessment

9.1 In which countries does your organization actively participate in a formalized and regular multi-stakeholder coordination platform for water, sanitation and hygiene, led by the national government?

[please list countries]

9.2 In which countries has your organization actively participated in a multi-stakeholder sector performance review in the past three years?

[please list countries]

REPORTING AND RESULTS MONITORING

10. Reporting of Aid Activities

		Please check applicable column with an 'X'		
		Yes	No	NA
10.1	Does your organization produce a specific report (e.g. annual report) that includes WASH aid (funds made available and/or results expected)? If YES, please respond to the questions below	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
a)	What was the latest year reported?	<input type="text"/>		
b)	What was the amount of aid reported for WASH (include currency)?	<input type="text"/>		
c)	Please provide a link to the report	<input type="text"/>		
d)	Is this report submitted to your parliament or to another governance body?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10.2	Are reports on WASH assistance or spending consistently shared with Ministries of Finance and other institutions in the recipient countries?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If yes, please indicate what information is reported (e.g. activities, expenditure reports, etc.), and whether lessons learned are discussed with the government and other national stakeholders.				
<input type="text"/>				

COLLABORATIVE BEHAVIOURS FOR MORE EFFECTIVE DEVELOPMENT COOPERATION

Sanitation and Water for All (SWA) has identified four [Collaborative Behaviours](#) that, if jointly adopted by governments and development partners, would improve long-term sector performance and sustainability. SWA has developed indicators to monitor the four Collaborative Behaviors suggested by partners to improve long-term sector performance and sustainability in the WASH sector. The four Collaborative Behaviors are:

1. Enhance government leadership of sector planning processes;
2. Strengthen and use country systems;
3. Use one information and mutual accountability platform built around a multi-stakeholder, government-led cycle of planning, monitoring and learning; and
4. Build sustainable water and sanitation sector financing strategies that incorporate data on all 3Ts (taxes, tariffs and transfers), as well as estimates for non-tariff household expenditure.

The following matrix lists several questions that are based on the four SWA Collaborative Behaviours for long-term sector performance and sustainability. The first column requests this information for your organization generally, on a global scale. The next eight columns request information at country-level for eight specific SWA partner countries (Bangladesh, Kenya, Mali, Nicaragua, Nigeria, Uganda, Viet Nam, and Zambia). Country-specific responses are requested if your organization (or project partners that your organization funds) are active in one or more of these countries. OECD-CRS data for water and sanitation ODA to each country (from your organization) are shown in the first two rows of the matrix, if available. A detailed analysis of these eight countries will be developed to determine how well country and external partner activities align with the four Collaborative Behaviours.

If a priority recipient country for your organization is not listed, and you would like to provide additional information, please free to add your organization's priority recipient countries to the matrix, and these will be referenced in either the Collaborative Behaviours country profiles or in the development of the ESA highlights.

GLOBAL ANALYSIS AND ASSESSMENT OF SANITATION AND DRINKING-WATER (GLAAS)

QUESTION 11.2 – Accountability, strengthening country systems, funding channels

	GLOBAL (all ODA/grant recipients)	Bangladesh	Kenya	Mali	Nicaragua	Nigeria	Uganda	Viet Nam	Zambia					
Commitments (2016 US\$ millions)														
Disbursements (2016 US\$ millions)														
MUTUAL ACCOUNTABILITY (please use the following 0-3 scale: 3 – High level; 2 – Moderate level 1 – Limited; 0 – No; NA – Not applicable; UNK – Unknown) - <i>BEHAVIOUR INDICATORS 3.1 and 3.2</i>														
Does your organization use/accept results from government monitoring systems in aid recipient countries, where they exist? (use 0-3 scale)														
Does your organization provide information on investments and results achieved to agreed national monitoring systems? (0-3 scale)														
STRENGTHENING AND USING COUNTRY SYSTEMS (please insert % of ODA or resources in each row) – <i>BEHAVIOUR INDICATOR 2.1 and 2.2</i>														
Percentage of ODA or resources ⁶ allocated to strengthening government systems ⁷ and/or capacity (%)														
Percentage of ODA or resources ⁶ allocated to strengthening government monitoring and evaluation systems in recipient countries, specifically (%)														
Percentage of ODA spending using government procurement systems in recipient countries (%) – <i>TO BE COMPLETED BY DONORS ONLY</i>														
Percentage of ODA disbursed through public financial management systems in recipient countries (%) <i>TO BE COMPLETED BY DONORS ONLY</i>														

⁶ Technical assistance or staffing resources if ODA is not applicable.

⁷ Systems for planning, financing, procurement, post-construction support.

	GLOBAL (all ODA/grant recipients)	Bangladesh	Kenya	Mali	Nicaragua	Nigeria	Uganda	Viet Nam	Zambia					
ENHANCING GOVERNMENT LEADERSHIP (please use the following scale: 3 – High level; 2 – Moderate level; 1 – Limited; 0 – No; NA – Not applicable; UNK – Unknown; OR insert % of ODA) – INDICATOR 1.2														
Does your organization support recipient country government(s) in the development or implementation of national multi-stakeholder sector planning processes and/or development of a WASH sector plan?														
Does your organization support recipient country government(s) in the development or implementation of decentralized (local) multi-stakeholder planning processes and/or WASH sector plans?														
Percentage of WASH ODA funding ⁸ captured in a national WASH plan of the recipient country (%) – TO BE COMPLETED BY DONORS ONLY														
FUNDING CHANNELS (please insert % ODA in each row. Total for rows in one country should be no more than 100%) BEHAVIOUR INDICATOR 4.2 – TO BE COMPLETED BY DONORS ONLY														
Percentage of funding included in the national budget and channeled through treasury (%)														
Percentage of funding included in the budget but not channeled through treasury (%)														
Percentage of funding to the sector, not included in national budget or provided through treasury (%)														
Percentage of funding that is pooled (i.e. placed in a common / basket fund, managed by recipient government, and spent according to recipient government priorities) (%)														
Percentage of funding that is disbursed as part of multi-year commitments or as part of multi-year investment strategy (%)														
REPORTING (please insert % ODA) – TO BE COMPLETED BY DONORS ONLY														
Percentage of WASH funding published/reported and shared with the Ministry of Finance (%)														

⁸ Include funding channeled through NGOs or contractor partners as long as implementing partners align with partner government priorities.

REFLECTION

12. Achievements and Obstacles in Strengthening Government Leadership, Building Sector Capacity, and Mutual Accountability

12.1 Please indicate one to two noteworthy achievements of your organization or your WASH programmes over the past two years in terms of strengthening government leadership, support to sector system building, and/or fostering mutual accountability at national or subnational levels (if applicable).

2016 response:

[please insert description]

12.2 Please indicate one to two obstacles your organization or your WASH programmes experienced over the past two years in terms of strengthening government leadership, support to sector system building, and/or fostering mutual accountability at national or subnational levels (if applicable).

2016 response:

[please insert description]

13. Lessons Learned and Impact of Projects and Programmes

13.1 Please provide an overall summary assessment of the achievements of your organization's WASH programmes (e.g. from 2013-2017, over 10 million people were provided with new drinking-water and sanitation services).

2016 response:

[please insert description]

13.2 Please provide one to two examples of your organization's projects/programmes that were considered by your organization to be successful over the past two years and what was learned.

2016 response:

[please insert description]

THANK YOU FOR YOUR PARTICIPATION IN THE GLAAS ESA SURVEY

We truly appreciate the time and effort involved in completing this form. Please return this form by 31 January 2019 to:

Dr. Fiona Gore

goref@who.int copied to glaas@who.int

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