

UN-WATER GLOBAL ANALYSIS AND ASSESSMENT OF SANITATION AND DRINKING-WATER (GLAAS)

External Support Agency (ESA) Survey

April 2022

I. What is GLAAS?

The Global Analysis and Assessment of Sanitation and Drinking-Water (GLAAS) is a UN-Water initiative that began in 2008 and is implemented by the World Health Organization (WHO). GLAAS responds to a continuing need to inform policy-making and strengthen the monitoring of national sanitation, drinking-water and hygiene (WASH) programmes and activities.

GLAAS reports assess data from several different sources, including global data on sanitation and drinking-water coverage¹, donor aid flows², economic and development indicators³, and health indicator data⁴. GLAAS gathers data from both recipient countries and external support agencies to fill key knowledge gaps. For more information see: <https://www.who.int/teams/environment-climate-change-and-health/water-sanitation-and-health/monitoring-and-evidence/wash-systems-monitoring/un-water-global-analysis-and-assessment-of-sanitation-and-drinking-water>.

II. How is this survey conducted?

Each GLAAS cycle, bi-lateral and multi-lateral agencies, UN organizations, private foundations, and other NGOs that provide development aid, technical assistance, research, or other support to WASH, a.k.a. external support agencies (ESAs), are invited to participate in the UN-Water GLAAS ESA survey. These data augment information provided by countries and present a full picture of actions and activities related to WASH.

III. What's new in the GLAAS 2022 ESA survey?

The GLAAS 2022 ESA survey focuses specifically on sanitation and hand hygiene. Previous GLAAS findings have shown that sanitation has been a longstanding priority, but assistance continues to lag behind that for drinking-water, and the COVID-19 pandemic revealed health vulnerabilities due to poor hygiene. Therefore, WHO would like to better understand how ESAs are approaching sanitation and hand hygiene, their successes as well as challenges. There are also new questions on gender, climate resilience and COVID-19 response.

IV. What WASH sector initiatives does GLAAS support?

In addition to providing WASH policy-makers and practitioners with a more comprehensive evidence base, the GLAAS process also informs political decision-making, particularly through its association with Sanitation and Water for All (SWA). ESAs and country governments participating in the GLAAS survey will be encouraged to participate in high-level dialogue which will feed into SWA's Sector Ministers' Meeting (SMM) in May 2022. The SMM as well as the subsequent Finance Ministers' Meeting (FMM) provide a platform for discussions and agreement on actions to increase access to sanitation and drinking-water.

GLAAS is also involved in collaborative monitoring efforts under Sustainable Development Goal (SDG) 6 and related targets and indicators. GLAAS works closely with OECD and UN partners to provide data and harmonize methods for monitoring of means of implementation targets 6a – international cooperation, and 6b – local participation.

V. How will data from this survey be presented?

A detailed summary of external support to the WASH sector will be presented in the GLAAS 2022 report. The report will discuss status and trends in: the targeting of aid, aid to water and sanitation, prioritization of recipient countries, regional targeting, aid allocation breakdowns, types of aid, funding channels, and future targets. ESA support for sanitation and hand hygiene will be a focus of the ESA analysis in the GLAAS 2022 report.

Data from the ESA survey will also be available on the GLAAS data portal, <https://glaas.who.int>, after the GLAAS 2022 report is released.

Responses to the survey may also support monitoring of SDG 6, and related targets and indicators, specifically means of implementation target 6a (amount of ODA aligned with government budgets and plans).

¹ WHO/UNICEF Joint Monitoring Programme on Water Supply and Sanitation

² Organization for Economic Co-operation and Development (OECD) Creditor Reporting System

³ World Development Indicators, World Bank

⁴ World Health Statistics, WHO

VI. What information is collected?

As in past years, GLAAS has developed a survey that aims to capture data on aid flows and information on ESA priorities, future planning and alignment, and how aid is being delivered; this year the focus is on sanitation and hand hygiene.

Working closely with OECD and data collected through the Creditor Reporting System (OECD-CRS), the aim is to make the best use of existing data sets. For those donors that already report to the OECD-CRS, there is the option to skip Questions 5 and 6 that duplicate information requested by OECD.

VII. Who should complete the survey?

Sanitation/hand hygiene team leaders/staff at the headquarters level of most organizations will be able to answer most of the questions in this survey, although, assistance of financial or human resource officers may be needed to complete certain sections of the survey regarding financial flows or level of effort in the WASH sector.

For bi-lateral donors with multiple agencies contributing to WASH sector activities, either one combined survey response from all (or a majority of) contributing agencies or separate survey responses are acceptable, whichever is most appropriate. For instance, if one large institution provides a majority of aid from the country completing the survey, then one combined survey response may be more applicable than several separate responses, whereas if two agencies each provide a significant percentage of aid using different modalities, then separate responses may be more appropriate.

VIII. What is the deadline for response?

Responses to this survey are requested by 31 May 2022. Additional response time is available for those organizations desiring to participate but not able to meet the deadline for response. Please contact Fiona Gore, (+41 22 791 1619, goref@who.int) to request additional time to respond to the survey.

IX. Where do I submit a response to the survey?

Completed survey forms may be returned via mail or email to:

Dr. Fiona Gore
goref@who.int

UN-Water, Global Analysis and Assessment of Sanitation and Drinking-Water (GLAAS)
Water, Sanitation, Hygiene and Health (WSH) unit
Department of Environment, Climate Change and Health (ECH)
World Health Organization (WHO)
1211 Geneva 27, Switzerland

and via email to: glaas@who.int

1. Organization Name and Address

a. Organization Name:			
b. Address:			
c. Website:			

2. Survey Focal Point

a. Contact Name and Title:			
b. Department:			
c. Email:		d. Phone:	

3. Organizational resources for WASH

Please indicate the amount of organizational resources devoted to WASH (globally, including country-based staff) in terms of budget, financial assistance provided and/or the level of staffing. Please include currency/units for budget or financial assistance. If available, please also indicate what portion of the organization's budget, staff or financial assistance is dedicated solely to sanitation programmes or projects.

	WASH-related	Sanitation-specific (if available)
	2021	2021
a. Programme budget (including labor costs) ⁵		
b. Financial aid provided (including grants, loans, equity investments) ⁶		
c. Staff resources (full-time equivalents)		

d. Please provide any notes or comments on the above information.

⁵ *Programme budget* includes the WASH and/or sanitation-specific operating budgets for labor, health and welfare benefits, payroll taxes, retirement system contributions, insurance premiums, supplies, rent, utilities, etc. as well as budgeted financial or technical assistance provided.

⁶ *Financial aid provided* includes all external disbursements (grants, concessionary loans, non-concessionary loans, equity investments) for WASH-related (or sanitation specific) projects or programmes to country governments, regional organizations, multi-lateral institutions, UN agencies, research organizations, non-governmental organizations, private companies, financial institutions, or other organizations with a primary intent to improve WASH-related (or sanitation-specific) services and/or access to these services.

4.1 Main Priorities for WASH

What are the main areas of global priority/focus of your WASH activities in the WASH or water sector? Please indicate level of priority for each area. Please use space in Question 4.2 and reference note column to provide references or examples of your organization's highest priority areas within sanitation.

	2021/2022 Level of priority or focus 1-very low, 2-low, 3-medium, 4-high, 5-very high					
	1	2	3	4	5	Notes/references
Objectives for WASH support						
a. WASH infrastructure development/improvement	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
b. Reducing inequalities in access/services to poorest/most vulnerable	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
c. Drinking-water systems strengthening	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
d. Sanitation systems strengthening	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
e. Other (specify): _____	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Financial and technical support for WASH sub-sectors delivery of services						
f. Urban drinking-water supply	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
g. Rural drinking-water supply	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
h. Urban sanitation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
i. Rural sanitation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
j. Ending open defecation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
k. Hand hygiene	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Support for broad SDG 6 agenda across the water sector						
l. Protection of drinking-water supplies/water quality	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
m. Safely managing wastewater and faecal sludge	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
n. Promotion of water use efficiency and sustainable withdrawals	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
o. Integrated water resources management	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
p. Protection and restoration of water-related ecosystems	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
q. Coordination and alignment of priorities with recipient governments on water and sanitation aid	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
r. Local community participation in water and sanitation management	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Integration of WASH into other programmes						
s. Health	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
t. Climate change adaptation and mitigation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
u. Nutrition	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Financial and technical support for WASH in institutional settings and emergencies						
v. WASH in health care facilities	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
w. WASH in schools	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
x. WASH in emergencies	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Specific sanitation activities						
y. Strengthening sanitation sector governance	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
z. Supporting sanitation policy development	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
aa. Strengthening sanitation regulations	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
ab. Developing national monitoring systems for sanitation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
ac. Supporting education and training/capacity development for sanitation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
ad. Strengthening sanitation finance	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
ae. Affordability mechanisms for sanitation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
af. Building markets/demand for sanitation products and services	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
ag. Addressing gender and sanitation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
ah. Supporting research on sanitation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
ai. Supporting faecal sludge management	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
aj. Promoting/supporting city-wide inclusive sanitation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
ak. Other (specify): _____	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	

4.2 Priority Areas for Sanitation

Please provide examples of your organization's programmes/activities for your highest priority areas under "specific sanitation activities" in question 4.1.

5. Purpose of Aid or Technical Assistance

Please only complete if your organization does not already report to OECD-Creditor Reporting System.

Please indicate the total expenditure on and/or budget for WASH activities shown below. Expenditures can be in the form of actual finance, technical assistance (monetized staff time or recipient benefits provided), and/or staff budget. Please indicate the currency and units used in rows (a-b). If WASH service delivery (5.c.iii) cannot be disaggregated among sub-areas, please provide total for WASH infrastructure/delivery in row (5.c.iii). *Note: OECD-CRS purpose codes are shown in parentheses for reference.*

a.	Currency (e.g. USD, Euro)	
b.	Units (e.g. millions, thousands)	
		2021 Disbursement
c.	TOTAL ASSISTANCE	
	i) Water resources policy and administration (14010)	
	ii) Water resources protection (14015)	
	iii) WASH infrastructure/delivery (14020 to 14032)	
	1. Drinking-water (14021, 14031)	
	2. Sanitation (14022, 14032)	
	3. Hygiene promotion	
	4. Not allocable to specific WASH subsector	
	5. Other	
	iv) River basin development (14040)	
	v) Agriculture water resources (31140)	
	vi) Waste management/disposal (14050)	
	vii) Education and training in water/sanitation (14081)	
	viii) Research/advocacy	

d. Please note any additional comments concerning the volume of aid or aspects of aid targeting.

6. Geographic Distribution of Aid/Technical Assistance

Please only complete the first and last column if your organization already reports disbursements to OECD-Creditor Reporting System.

Please indicate the expenditure for WASH aid activities by country and type of aid as well as provide a description of sanitation-related activities by **country**. If aid activities benefit a particular region(s) or is more global in nature, please indicate these in a separate line(s). Expenditures can be in the form of finance and/or technical assistance (monetized staff time or recipient benefits provided). Please indicate currency, units, and the year(s) of disbursement (if not 2021). Also, please provide brief text descriptions of sanitation-related aid activities for countries, where appropriate. If you need additional rows to answer this question, please attach a word document or excel file with the additional information.

[illegible]

7. COVID-19 pandemic and WASH

a. How has the COVID-19 pandemic affected your organization's WASH aid? Please mark all that apply. For any 'moderate' or 'significant' answer, please describe.

	No	Moderate	Significant	Description
i. Reduction in WASH expenditures	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
ii. Reduction in WASH budgets	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
iii. Increase in WASH expenditures	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
iv. Increase in WASH budgets	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
v. Extended time periods for WASH projects/programmes	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
vi. Delays in disbursements of funds	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
vii. Shift in priority of WASH within the organization	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
viii. Shift in priorities within WASH projects/programmes	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
ix. Other	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	

b. i. Did your organization support countries in developing COVID-19 preparedness and response plans?

ii. If yes, was WASH generally included in the plans?

iii. If yes, please describe how WASH was included.

Yes	No
<input type="radio"/>	<input type="radio"/>
<input type="radio"/>	<input type="radio"/>

c. How has the COVID-19 pandemic affected your organization's hand hygiene aid/programming?

8. Gender and WASH

Please describe your organization's specific activities/programmes related to gender and WASH.

9. Climate resilience and WASH

Please describe if/how your WASH aid/programming includes environmental sustainability and climate change adaptation/mitigation.

10. WASH Strategy and Sanitation and Hand Hygiene Specific Objectives

a. Does your organization have an explicit multi-year WASH or water sector development strategy? ☐ YES ☐ NO ☐ DEVELOPING

(if YES, please complete below):

b. Title and link to the strategy	
c. Date strategy developed or last revised (year)	
d. What timeframe does the strategy cover?	
e. Is there an annual or multi-year budget estimate to implement the strategy? If yes, please indicate amount.	

Please note that the following questions 11 to 17 are specific to sanitation and/or hand hygiene.

11. Specific Objectives for Sanitation and Hand Hygiene

	Sanitation	Hand hygiene
a. Please provide a brief description of your organization's strategic goals/objectives/aims for supporting sanitation and hand hygiene.		
b. Please provide a brief description of how your sanitation and hand hygiene goals/objectives/aims align with the SDGs.		
c. Please list your priority/focus countries for sanitation and hand hygiene development cooperation.		

12. Obstacles to Sanitation Objectives/Funding

Given that 1) sanitation has historically received less aid than water, and 2) sanitation is the SDG 6 target furthest off track, what challenges and obstacles do you face in raising the strategic profile and increasing aid/funding for sanitation (e.g. lack of government prioritization of sanitation or sanitation specific areas, difficulties in identifying appropriate initiatives or projects, lack of clear government counterparts, lack of/insufficient technical assistance partners, risk profile of initiatives and partners etc.)?

13.1 Sanitation Targets – Access/Services

Does your organization have specific global or regional targets for increasing access to sanitation services, in terms of numbers of people served by safely managed services over a specified time period, number of people served by basic services, or other types of targets for sanitation, etc.

_____ YES _____ NO

If yes, please provide a description of targets including quantitative levels and whether these targets are disaggregated among specific population groups (e.g. low-income, vulnerable populations, urban vs. rural, etc., if applicable), as well as service level objectives.

13.2 Sanitation Targets – Financial or Technical Assistance

If sanitation targets are specified in monetary allocations or budgeted technical assistance, please specify plans for the following three years, i.e. if the amount or approximate percent of aid increase/decrease is known, please insert amount and currency or % increase/decrease in table or describe below.

	2022	2023	2024	TOTAL (2022-2024)	
a. Total sanitation support (projected)					

b. Is the projected sanitation support indicated above allocable to specific programme areas (i.e. strengthening of sector systems, elimination of open defecation, basic or safely managed services, etc.). If so, please describe or indicate these programme area budgets as a percentage of projected annual WASH support.

14. Breakdown of Sanitation Disbursements/Technical Assistance

If data are available, please estimate the breakdown of finance, technical assistance (monetized staff time or recipient benefits provided), and/or staff budget among urban/rural programmes (d-f), and service provision/system strengthening (g-k). Please include totals of all grants and loans (concessional and non-concessional), if applicable.

a.	Currency (e.g. USD, Euro)		
b.	Units (e.g. millions, thousands)		
		Disbursement (2021) (monetary amount or by percentage of assistance)	Brief description of activity
c.	Total development aid for sanitation and hand hygiene (inclusive of grants and loans)		
Support for sanitation disaggregated by sub-sector demographic (categories intended to sum to 100% of sanitation assistance)			
d.	Urban areas		
e.	Rural areas		
f.	Both urban and rural areas		
Support for sanitation disaggregated by output/objective (categories intended to sum to 100% of sanitation assistance)			
g.	Increasing access/new services		
h.	Improving existing services to higher service levels		
i.	Sustaining existing services		
j.	Strengthening sector systems/capacity ¹		
k.	Other (please specify): _____		

1. Strengthening of sector systems for planning, financing, procurement, monitoring, post-construction support, education and training, technical assistance, etc.

15. Technical/Financial Assistance by Sanitation or Hand Hygiene Programme Area

If data are available, please estimate the breakdown of finance, technical assistance (monetized staff time or recipient benefits provided) in specific sanitation programme areas and provide a brief description of activities within each programme area for the 2021 period (or alternative period if easier to report).

a.	Currency (e.g. USD, Euro)		
b.	Units (e.g. millions, thousands)		
c.	Time period (if not 2021)		
		Disbursement (2021)	Brief description of activity
Hand hygiene and behavior change			
d.	Hand hygiene – <i>Activities related to provision of hygiene specific services, infrastructure, facilities, and/or handwashing promotion.</i>		
e.	Elimination of open defecation/sanitation behavior change		
f.	Other (please specify): _____		
Sanitation service chain			
g.	Toilets – <i>Activities related to the design, construction, management, use, acceptability of toilets</i>		
h.	Containment, storage, treatment – <i>Activities related to the planning, design, construction, management of on-site systems</i>		
i.	Conveyance – <i>Activities related to worker health and safety, latrine emptying/transport, sewer design, construction, maintenance, etc.</i>		
j.	Treatment – <i>Activities to support the design, construction, upgrade, or maintenance of liquid and solid waste treatment facilities</i>		
k.	End use/disposal - <i>Activities to support use of treated wastewater and faecal sludge (i.e. irrigation, fertilizer, energy production)</i>		

15. Technical/Financial Assistance by Sanitation or Hand Hygiene Programme Area (continued)

If data are available, please estimate the breakdown of finance, technical assistance (monetized staff time or recipient benefits provided) in specific sanitation programme areas and provide a brief description of activities within each programme area for the 2021 period (or alternative period if easier to report).

		Disbursement (2021)	Brief description of activity
Sanitation specific programming or initiatives			
l.	Strengthening sanitation sector governance		
m.	Strengthening sanitation regulations		
n.	Developing national monitoring systems for sanitation		
o.	Supporting education and training/capacity development in sanitation		
p.	Strengthening sanitation sector finance		
q.	Affordability mechanisms for sanitation		
r.	Building markets/demand for sanitation products and services		
s.	Addressing gender and sanitation		
t.	Supporting research on sanitation		
u.	Supporting faecal sludge management		
v.	Promoting/supporting city-wide inclusive sanitation		
w.	Other (please specify): _____		

16. Leveraging/Catalyzing Sanitation Development Aid

	Yes	No	NA
a. Has your organization leveraged or catalyzed its development aid to encourage increased financing/funding for sanitation by national governments, other ESAs or the private sector?			
b. If yes, please list in which countries, and one to two examples of successful leveraging/catalyzing for sanitation.			
c. If yes, please provide the amount (or estimated amount) of leveraged/catalyzed sanitation financing/funding.			

17. Achievements

	Sanitation	Hand hygiene
a. Please provide an overall summary assessment of the achievements of your organization's sanitation and hand hygiene programmes (e.g. from 2017-2021, over 10 million people were provided with new sanitation services).		
b. Please provide one to two examples of your organization's sanitation and hand hygiene projects/programmes that were considered by your organization to be successful over the past two years and what was learned.		

18. Sanitation and Hand Hygiene Future Focus			
Please briefly describe any plans your organization has for changes in the type of sanitation, hand hygiene or general WASH aid you will provide between 2022 and 2025 (e.g. increase or decrease in support, new targets, revised policies, changes to priority countries /regions, new approaches, etc.).	Sanitation	Hand hygiene	Overall WASH

THANK YOU FOR YOUR PARTICIPATION IN THE GLAAS ESA SURVEY

We truly appreciate the time and effort involved in completing this form. Please return this form by 31 May 2022 to:

Dr. Fiona Gore
goref@who.int copied to glaas@who.int
 Water, Sanitation, and Hygiene and Health (WSH) Unit
 Department of Public Health, Environmental and Social Determinants (PHE)
 World Health Organization (WHO)
 Avenue Appia 20
 1211 Geneva 27, SWITZERLAND