

## GLAAS information module 8

# Section D Finance questions

in the GLAAS 2021/2022 country survey

# Overview

---

- Section D consists of **11 questions** covering WASH finance.
- This module will present key information about specific questions in Section D.
- Please also reference the survey guidance.

# Questions

---

- D1: Financial plans
- D2: Budgets for WASH
- D3: Cost recovery
- D4 – D5: Financing for equity measures
- D6: Affordability
- D7 – D8: Utilization of available funds (absorption)
- D9: External financing
- D10: Sufficiency of funding
- D11: Financial flows

Questions covered in this module

## D2: Budgets for WASH

**List all ministries involved with WASH (even if specific budgets cannot be obtained). The ministries/national institutions should be the same as those listed in A9.**

[illegible]

These three columns are new to the survey. The total across the three columns should add up to 100% in each row.

**The answers should be numbers between 0 and 100.**

D1

D2

D3

D4

D5

D6

D7

D8

D9

D10

D11

## D4: Financing for equity measures

FINANCING FOR EQUITY MEASURES										
D4. <u>Equity for settings/situations</u> : Are there specific measures to direct resources to the following settings/situations?										
	This setting / situation does not exist in the country <sup>18</sup>	Specific measures for sanitation			Specific measures for drinking-water			Specific measures for hand hygiene		
		No	Yes, but measures are not applied consistently	Yes, and measures are applied	No	Yes, but measures are not applied consistently	Yes, and measures are applied	No	Yes, but measures are not applied consistently	Yes, and measures are applied
a. Remote or hard to reach areas	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Slums or informal settlements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Internally displaced persons and/or refugee camps	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Emergencies and disasters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Other setting or situation (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. If yes, please describe the measures above.										
g. If available, please provide a name and/or link to any reference which describes these measures (e.g. programme description within financial plan).										

The settings where you checked the box indicating “does not exist in the country” in questions A8I and B3I should also be checked for this question.

D1

D2

D3

D4

D5

D6

D7

D8

D9

D10

D11

# D5: Financing for equity measures

## FINANCING FOR EQUITY MEASURES

D5. **Equity for vulnerable populations:** Are there specific measures to target resources to the following populations?

	This population does not exist in the country <sup>19</sup>	Specific measures for sanitation			Specific measures for drinking-water			Specific measures for hand hygiene		
		No	Yes, but measures are not applied consistently	Yes, and measures are applied	No	Yes, but measures are not applied consistently	Yes, and measures are applied	No	Yes, but measures are not applied consistently	Yes, and measures are applied
a. People living in poverty		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Women and girls		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. People living with disabilities		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Elderly populations		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Indigenous populations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Ethnic/religious minorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g. Populations disproportionately affected by climate change	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h. Other population (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

i. If yes, please describe the measures above.

j. If available, please provide a name and/or link to any reference which describes these measures (e.g. programme description within financial plan).

The populations where you checked the box indicating “does not exist in the country” in questions A8II and B3II should also be checked for this question.

D1

D2

D3

D4

D5

D6

D7

D8

D9

D10

D11

## D6: Affordability

### AFFORDABILITY

**D6. Affordability: Are there financial schemes to make access to WASH more affordable for vulnerable groups?**

	No schemes exist	Affordability schemes exist, but are not widely used	Affordability schemes exist and are widely used
a. Urban sanitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Rural sanitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Urban drinking-water	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Rural drinking-water	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Hand hygiene	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

f. Is affordability of WASH services defined in policies or plans (e.g. no more than 2% of median household income)?  
If yes, please indicate how it is defined and whether affordability levels are monitored on a periodic basis.

g. Please provide examples of affordability schemes in use and the scope of coverage, including how specific groups are targeted for these schemes, and the variability of coverage within urban or rural groupings (e.g. large cities versus small cities).

**Different countries have different definitions for affordability. Please use the definition used in your country to answer this question.**



D1

D2

D3

D4

D5

D6

D7

D8

D9

D10

D11

## D9: External funding

EXTERNAL FINANCING				
D9. <b>External funding:</b> How are donor funds channelled to the WASH sector? See the survey guidance for additional information.				
a. Time period (e.g. financial year) for donor expenditures shown in (c) below.	External support for drinking-water, sanitation and hygiene			
b. Currency/units for donor expenditure shown in (c) below:				
c. <b>Total donor expenditure/disbursement</b>				
<b>ON-BUDGET</b>				
i. Funding provided for specific expenditures or lines in national budget, and channeled through treasury (includes basket funding)				
ii. Funding provided for specific expenditures or lines in national budget, but not channeled through treasury				
<b>OFF-BUDGET</b>				
iii. Direct funding to sector not through national budget or treasury				
<b>GENERAL GOVERNMENT BUDGET SUPPORT</b>				
iv. General budget support, funds channeled through treasury				
d. <b>Alignment of donor funds</b>	Less than 50%	Between 50% and 74%	Between 75% and 94%	Between 95% and 100%
i. Per cent (%) of donor funds aligned with national WASH plan(s)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ii. Per cent (%) of donor funds aligned with national plan(s) for the water sector <sup>20</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. If unable to provide disaggregation of donor funds according to categories in question (c) above, please describe and/or provide the available data below.				

To answer this question see detailed OECD-CRS codes in the survey guidance document.

This questions is used for monitoring SDG 6.a on international cooperation.

This question is asked in a new format compared to previous cycles.



D1

D2

D3

D4

D5

D6

D7

D8

D9

D10

D11

# D10: Sufficiency of funding

SUFFICIENCY OF FUNDING						
<b>D10. Sufficient funding to meet targets:</b> Going forward, do you estimate that financing/funding from all sources allocated to water/sanitation/hand hygiene is sufficient to reach national targets?						
<b>Indicate amount and currency.</b>	If a specific annual financial need has been identified and financing/funding estimates <u>are available</u>		If specific monetary estimates of finance needs and funding are <u>not available</u> , please estimate the percentage available			
	Estimated <u>annual</u> need, monetary amount (indicate currency)	Identified <u>annual</u> finance available from all sources, monetary amount (indicate currency)	Less than 50% of what is needed	Between 50% and 74% of what is needed	Between 75% and 94% of what is needed	Between 95% and 100% of what is needed
	a. Urban sanitation		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	b. Rural sanitation		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	c. Urban drinking-water		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	d. Rural drinking-water		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	e. Hand hygiene		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	f. WASH in health care facilities		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	g. WASH in schools		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>h.</b> Please indicate the source or methods used to determine costed monetary needs indicated above, if applicable.						
<input type="text"/>						
<b>i.</b> Please indicate specific areas of funding gaps (e.g. human resources, expansion of services, operations and maintenance, etc.).						
<input type="text"/>						

Only fill out this part of the question if specific financial needs are not available.

D1

D2

D3

D4

D5

D6

D7

D8

D9

D10

D11

Expenditures														Sources, and data gaps (additional space provided next page)
Funding unit/type	Total	Drinking-water			Sanitation			Hygiene			General, unallocated			
		Total	Urban	Rural	Total	Urban	Rural	Total	Urban	Rural	Total	Urban	Rural	
<b>a. Users (households / commercial / industrial)</b>														
- Tariffs/charges (households/commercial/industrial)														
- Households' expenditure for self-supply														
<b>b. Government or public authority</b>														
- Central level														
- State / provincial level														
- Local level														
<b>c. External sources</b>														
- Bilateral / multilateral donor grants (grants only)														
- Donations and grants (NGOs and others)														
<b>d. Repayable financing</b>														
- Concessionary repayable financing														
- Non-concessionary repayable financing														
<b>e. TOTAL EXPENDITURE</b>														

Indicate source of information, where data gaps exist and extent of the data gap. More space is provided on next survey page.

Please use one currency when answering this question. Detailed information on completing this question is available in the survey guidance document.

# Using WASH accounts to improve answers to D11

---

- It is acknowledged that the data requested in D11 may not be readily available.
- To improve information on WASH financial flows, GLAAS has developed the TrackFin methodology, which results in WASH accounts, to improve information on financial flows and expenditures in WASH.
- For countries that have developed WASH accounts recently, the D11 table can be extracted from module 6.4 of the WASH accounts production tool.

# More information on WASH accounts

---

- If your government is interested in developing WASH accounts using the TrackFin methodology, please contact: [glaas@who.int](mailto:glaas@who.int)
- For more information on WASH accounts, please see: <https://www.who.int/teams/environment-climate-change-and-health/water-sanitation-and-health/monitoring-and-evidence/wash-systems-monitoring/un-water-global-analysis-and-assessment-of-sanitation-and-drinking-water/wash-accounts>

wash  
accounts

# Thank you!

*For additional information please contact  
[glaas@who.int](mailto:glaas@who.int)*